

Introduction to Quantum Theory

Dr. Russell Herman

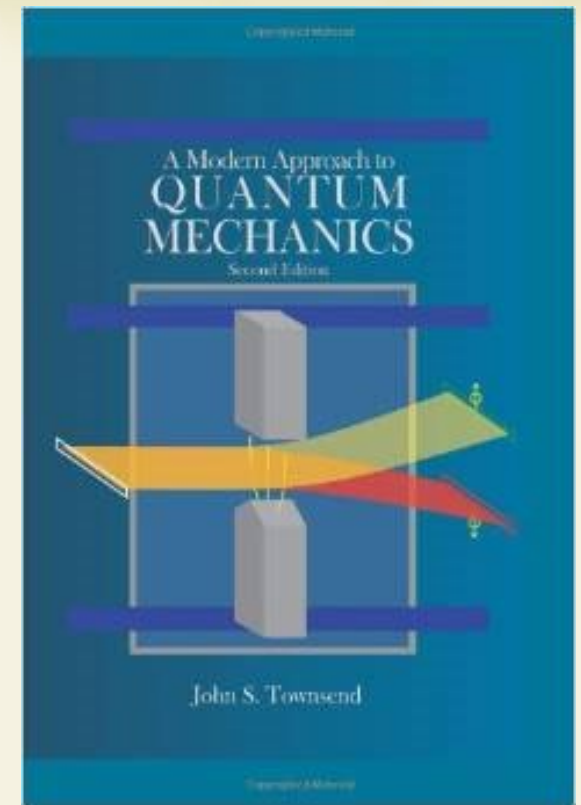
Physics and Physical Oceanography

1927 Solvay Conference



Syllabus

- Website: <http://people.uncw.edu/hermanr/qm/>
- Grades
 - Homework – 30%
 - Papers – 10%
 - 3 Exams – 40%
 - Final – 20%
- Office Hours: MTWRF, 9:30-10:30 AM
Sartarelli Hall 2007J



Required Text:

Townsend, J. *A Modern Approach to Quantum Mechanics*, 2nd Ed., 2012.

Other Readings:

Susskind, L. *Quantum Mechanics, The Theoretical Minimum*, 2014.

Feynman, R. C.,

The Feynman Lectures on Physics, Vol. III, 1965 and

QED: The Strange Theory of Light and Matter, 1988.

See also - <http://people.uncw.edu/hermanr/booklist.htm>

Artificial Intelligence Use Policy

Core Principles

Learning to use AI responsibly is an essential skill. You are encouraged to explore AI tools for brainstorming, idea generation, and research assistance while maintaining academic integrity and developing critical thinking skills.

Permitted Uses

- Small assignments: AI may be used for brainstorming, drafting, and iterative improvement
- Research projects: AI may assist with literature review, organizing ideas, and refining arguments
- All uses: Must be properly disclosed and attributed

Requirements and Responsibilities

Attribution: All AI use must be acknowledged at the end of your submission. Include:

- Which AI tool(s) you used and how
- Key prompts that generated useful content
- A brief description of how AI-generated material was incorporated or modified

Verification: You are responsible for fact-checking all AI outputs. AI systems frequently generate inaccurate information and fake citations. Cross-reference all claims with reliable sources.

Quality Control: Effective AI use requires skillful prompting and critical evaluation. Minimum-effort prompts produce low-quality results that may harm your work.

Prohibited Practices

- Submitting AI-generated work as your own without attribution
- Using AI-generated citations without verification
- Relying on AI for factual claims without independent confirmation

Academic Integrity

Failure to properly attribute AI use violates university honor code policies. While AI can enhance your work, it cannot replace your critical thinking, analysis, and original contribution to the assignment.

Time for Some Background

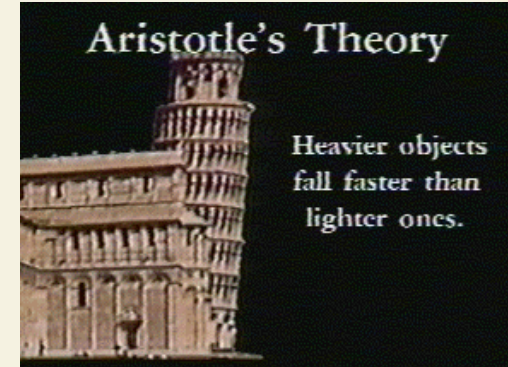
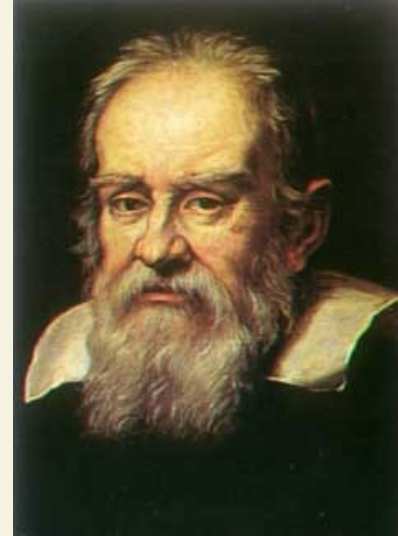
The Rise of Classical Physics

The Emergence of Physics - 1609

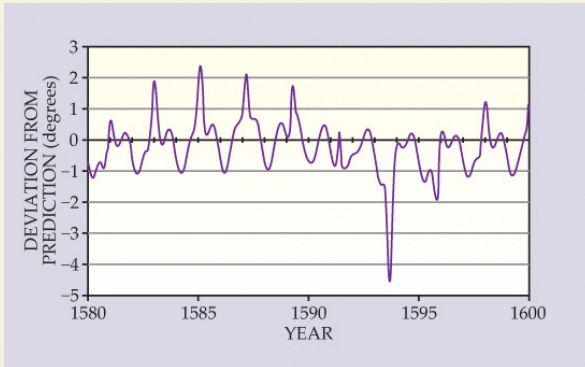
Nicolaus Copernicus (1473-1543)



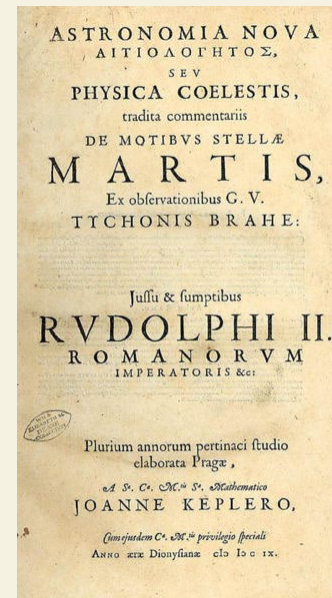
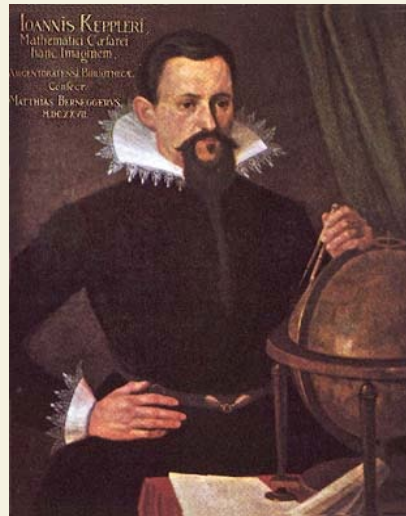
Galileo Galilei (1564-1642)



Tycho Brahe (1546-1601)



Johannes Kepler (1571-1630)



The great Martian catastrophe and how Kepler fixed it



The Clockwork Universe

Sir Isaac Newton (1642-1727)

Principia (1687)

*Philosophiae Naturalis Principia
Mathematica (Mathematical
Principles of Natural Philosophy)*

Laws of Motion $dp/dt = F$

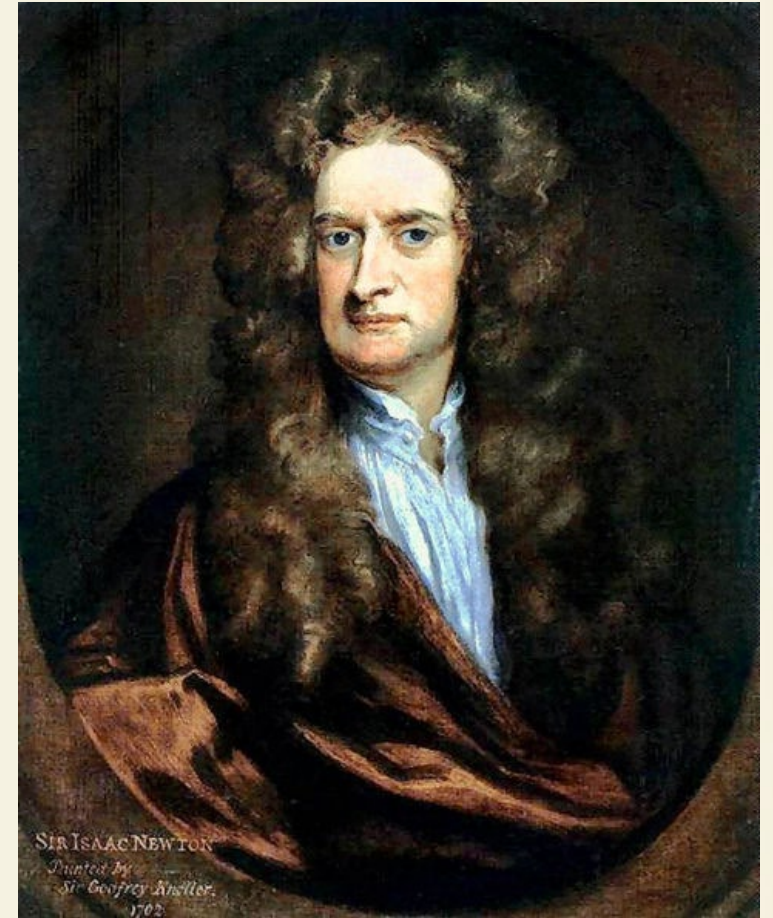
Law of Gravitation

Kepler's Laws Explained

Calculus (fluxions)

... Space and time are absolute ...

Determinism - Given F , predict x and v



Unification

... the force responsible for bodies falling on the Earth is the same as that causing the moon to follow its orbit.

Reformulations of $F = ma$

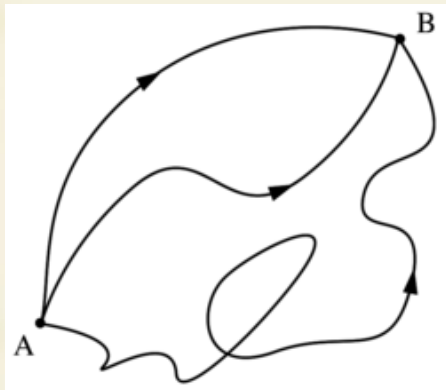
From Classical Dynamics

Euler (1707-1783) **Variational Calculus**

D'Alembert (1717-1783) **Virtual Work**

Lagrange (1736-1813) **Lagrangian Mechanics**

Hamilton (1805-1865) **Hamiltonian Mechanics**



Principles

Fermat's:

least time

d'Alembert's:

virtual work

Hamilton's:

least action

Define the action

$$S = \int_{t_1}^{t_2} L dt, \text{ for } L = T - V.$$

Require: $\delta S = 0$.

Then, $[F = ma]$

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{x}} \right) - \frac{\partial L}{\partial x} = 0.$$

Optics

Vis viva

Action

Path Integrals

Fermat – Leibniz vs Maupertuis – Euler – Lagrange – Hamilton – - Feynman

1662

1686

1744

1744

1788

1834

1948

Hamilton's Formulation

Phase Space ($q = x, p = m \, dx/dt$),

Initial (q, p) + 2nd Law \Rightarrow Motion for all t

Ex: Free particle, $p = \text{const}$

Harmonic Oscillator,

Energy Conservation $E = \text{const}$

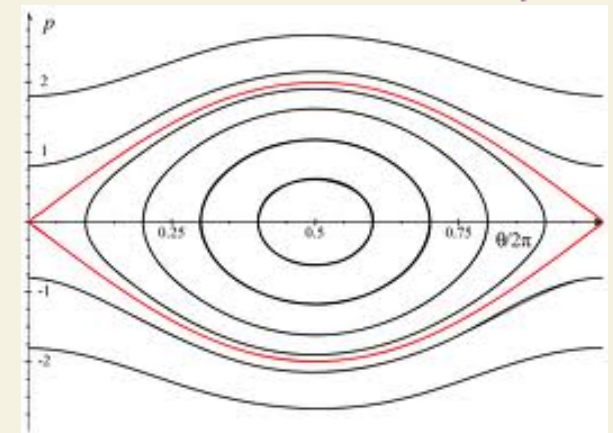
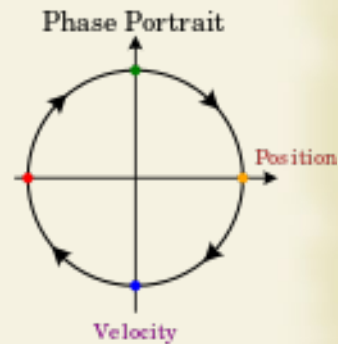
$$E = \frac{p^2}{2m} + \frac{1}{2} k q^2$$



Hamiltonian $H(p, q) = T(p) + V(q)$

Hamilton's Eqns \Leftrightarrow Newton's Laws

$$\begin{aligned} \frac{dq}{dt} &= \frac{\partial H(q, p)}{\partial p} \\ \frac{dp}{dt} &= -\frac{\partial H(q, p)}{\partial q} \end{aligned}$$



Poisson: For $F(p, q)$, $\frac{d}{dt} F(p, q) = \frac{\partial F}{\partial q} \frac{\partial H}{\partial p} - \frac{\partial F}{\partial p} \frac{\partial H}{\partial q} = \{F, H\}$

Electricity and Magnetism

Magnetism (Lode stones, Compasses)

Electricity (static, lightning)

William Gilbert (1544-1603)

(amber, electon, magnetic + electric forces different)

Thomas Browne (1605-1682) (“electricity”)

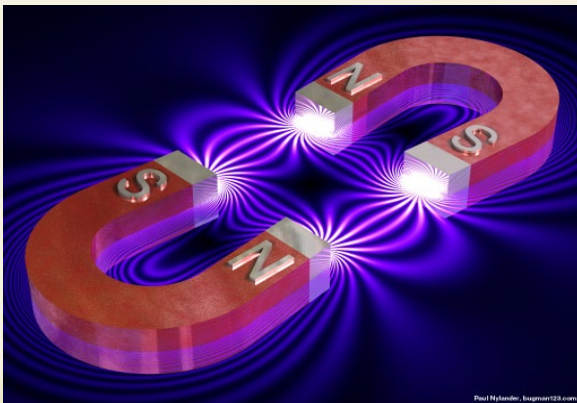
Benjamin Franklin (1706-1790) (“+/- electricity”)

Henry Cavendish (1731-1810)

Charles-Augustin de Coulomb (1736-1806)

Luigi Galvani (1737-1798) (animal electricity)

Alessandro Volta (1745-1827) (electrochemical cell)



Electromagnetism

Hans Oersted (1777-1851)

1820 current deflects compass needles, made Al

André-Marie Ampère, (1775 - 1836)

“electrodynamics,” current carrying wires attract, telegraph

Georg Simon Ohm (1789-1854)

Ohm’s Law - 1827

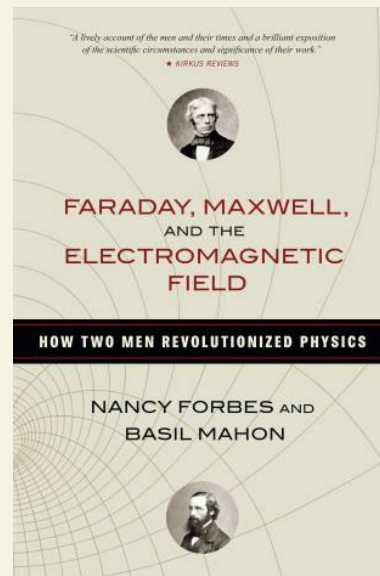
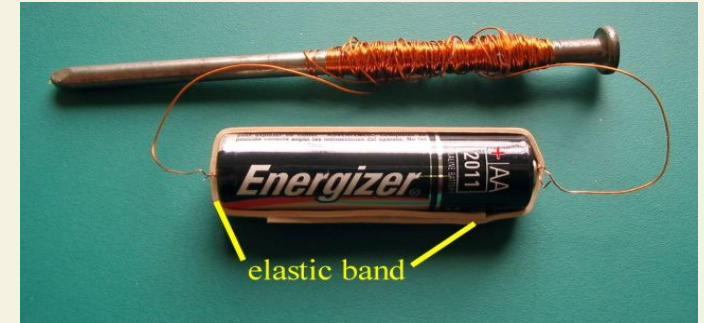
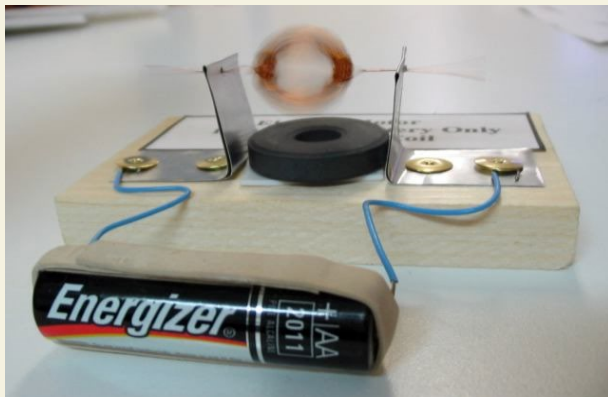
Joseph Henry (1797-1878)

electromagnetic induction, first motor, relays

Michael Faraday (1791-1867)

electrolysis, motors, induction coils, ...

Introduced concept of a field.



From experiment to theory ...

Electromagnetic Waves

James Clerk Maxwell (1831-1879)

- Theory of electromagnetism – 1865.

1873, Maxwell also used the quaternions of Hamilton (1843),

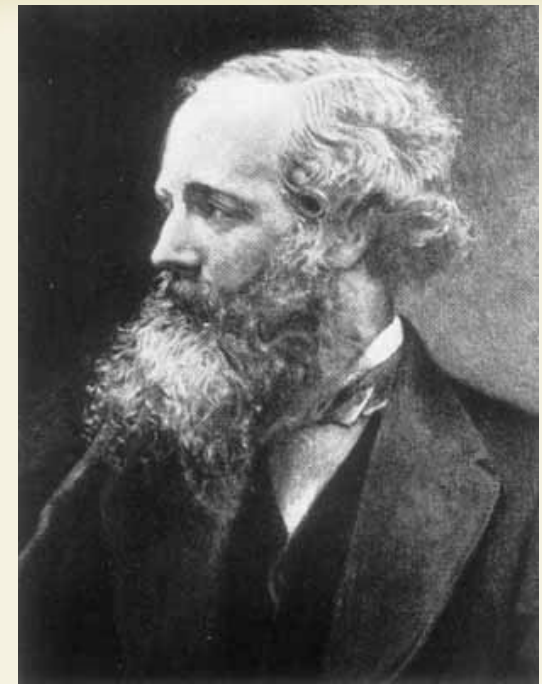
1880s, Heaviside reduced the 20 PDEs – 12 to 4,
using symbolic vector calculus

[independent of Josiah Gibbs, *Vector Analysis*, (1881-1884)]

1890, Hertz presented other forms

- Predicted the electromagnetic waves - 1862.

Electromagnetic waves travel: $c = 299,792,458 \text{ m/s} = 186,000 \text{ mi/s}$



The Maxwellians

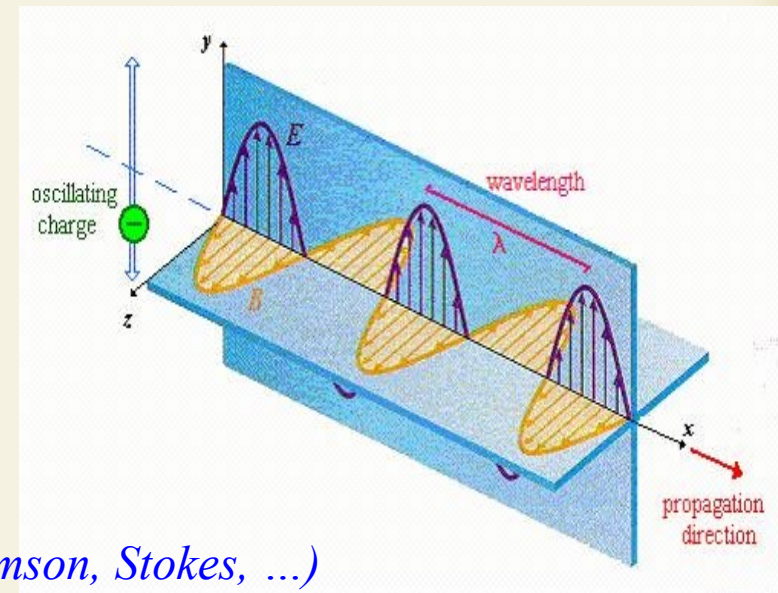
George Francis FitzGerald (1851–1901),

Oliver Lodge (1851–1940) and Oliver Heaviside (1850)–1925)

Heinrich Hertz (1857-1894)

- Sent the first radio waves – 1888.
- Marconi (1874-1937), practical radio waves – 1897

What is the medium? - *Luminiferous Aether* (supported by Thomson, Stokes, ...)



Maxwell's Equations

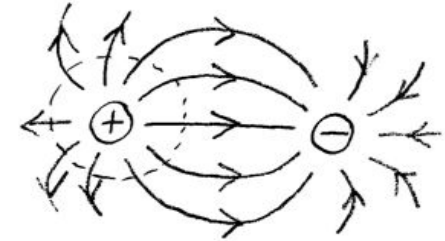
Not SI units!

JAMES CLERK MAXWELL'S EQUATIONS

$$\nabla \cdot \vec{E} = 4\pi\rho$$

$\nabla \cdot \vec{E}$: DIVERGENCE OF \vec{E}
 ρ : CHARGE DENSITY

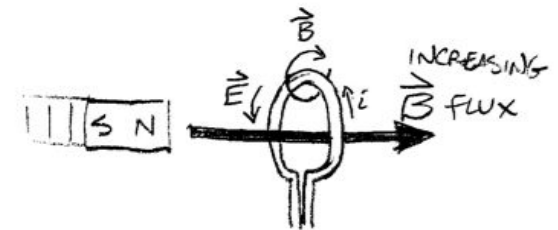
\vec{E} DIVERGES OUT FROM POSITIVE CHARGES AND IN TOWARD NEGATIVE CHARGES. THE TOTAL FLUX OF \vec{E} THROUGH ANY CLOSED SURFACE IS PROPORTIONAL TO THE CHARGE INSIDE.



$$\nabla \times \vec{E} = -\frac{1}{c} \frac{d\vec{B}}{dt}$$

$\nabla \times \vec{E}$: CURL OF \vec{E}
 c : SPEED OF LIGHT
 $\frac{d\vec{B}}{dt}$: RATE \vec{B} IS CHANGING

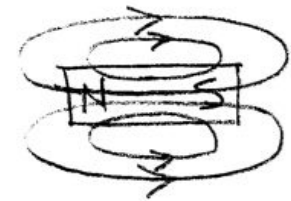
\vec{E} CURLS AROUND CHANGING \vec{B} FIELDS (FARADAY'S LAW) IN A DIRECTION THAT WOULD MAKE A CURRENT THAT WOULD PRODUCE A \vec{B} FIELD TO OPPOSE THE CHANGE IN \vec{B} FLUX (LENZ'S LAW).



$$\nabla \cdot \vec{B} = 0$$

$\nabla \cdot \vec{B}$: DIVERGENCE OF \vec{B}

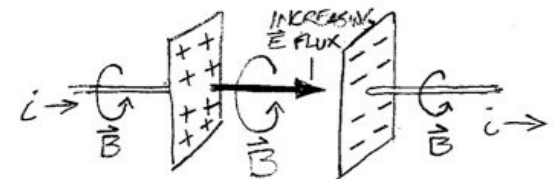
\vec{B} NEVER DIVERGES. IT JUST LOOPS AROUND ON ITSELF.



$$\nabla \times \vec{B} = \frac{4\pi}{c} \vec{j} + \frac{1}{c} \frac{d\vec{E}}{dt}$$

$\nabla \times \vec{B}$: CURL OF \vec{B}
 c : SPEED OF LIGHT
 \vec{j} : CURRENT DENSITY
 $\frac{d\vec{E}}{dt}$: RATE \vec{E} IS CHANGING

\vec{B} CURLS AROUND CURRENTS AND CHANGES IN \vec{E} FIELDS



Gaussian Units

Unit Conversions



		Conversion	SI
Distance	cm	10^{-2}	m
Mass	g	10^{-3}	kg
Time	s	1	s
Force	dyne	10^{-5}	N
Energy	erg	10^{-7}	J
Power	erg/s	10^{-7}	W
Charge	esu	3.336×10^{-10}	C
Electric Potential	statvolt	299.79	V
Magnetic Field	Gauss	10^{-4}	T

- $1 \text{ eV} = 1.6022 \times 10^{-12} \text{ erg} = 1.602 \times 10^{-19} \text{ J}$
- $1 \text{ Ry} = 13.6057 \text{ eV}$ (ionization energy of hydrogen)
- $1 \text{ C} = 2.9979 \times 10^9 \text{ esu}$, $1 \text{ statcoul} = 1 \text{ esu}$
- $1 \text{ \AA} = 10^{-10} \text{ m}$
- $1 \text{ eV}/c^2 = 1.7827 \times 10^{-36} \text{ kg}$
- $(\mu_0 \epsilon_0)^{-1/2} = 299,792,458 \text{ m/s}$; $(\mu_0/\epsilon_0)^{1/2} \approx 377 \Omega$

$$e^2 \text{ (Gaussian)} \rightarrow \frac{e^2}{4\pi\epsilon_0} \text{ (SI)}$$

$$F_{\text{Coulomb}} = \frac{q_1 q_2}{r^2}$$

From ChatGPT 5

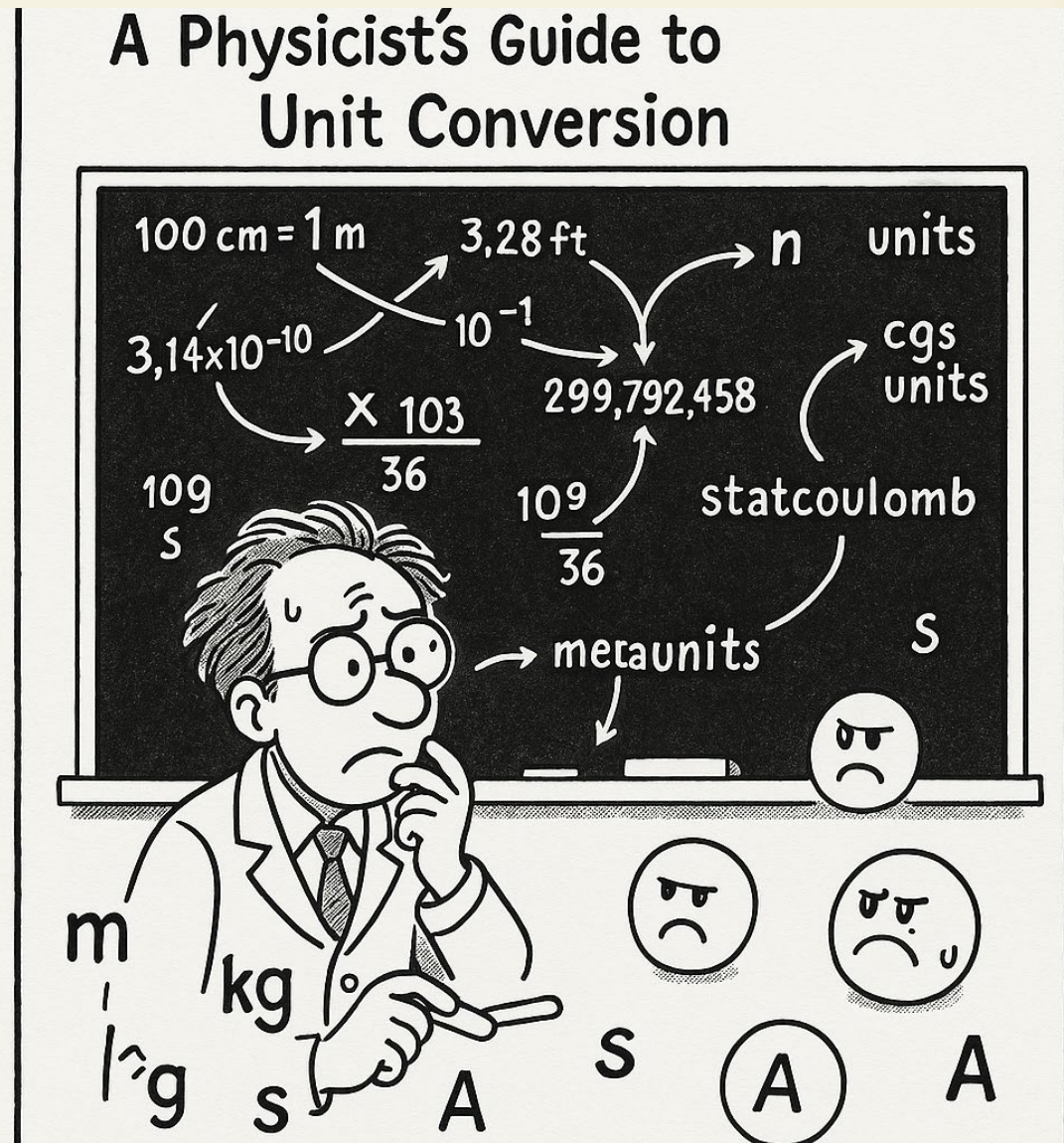
Prompt: Can you draw a cartoon based on the following?

A scientist is shown with a complicated diagram of arrows and equations, trying to convert units between the two systems.

They might be surrounded by frustrated-looking unit symbols, some in SI (m, kg, s, A) and some in Gaussian (cm, g, s, statcoulomb).

The caption could be something like:
"Lost in Translation: A Physicist's
Guide to Unit Conversion".

8/12/25



New Questions

- Waves – What is the medium?
Michelson-Morley (1887) - could not detect it.
- Spectroscopy – Why the spectral lines?
- Blackbody Spectrum – Describe the dependence on λ .
- Lorentz Invariance – Explain speed of light in moving media.

These led to **Revolutions in Physics** in the 1900s!

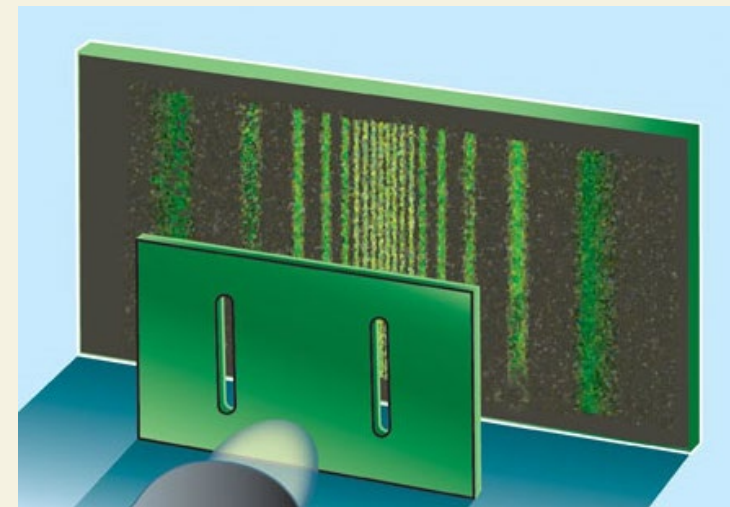
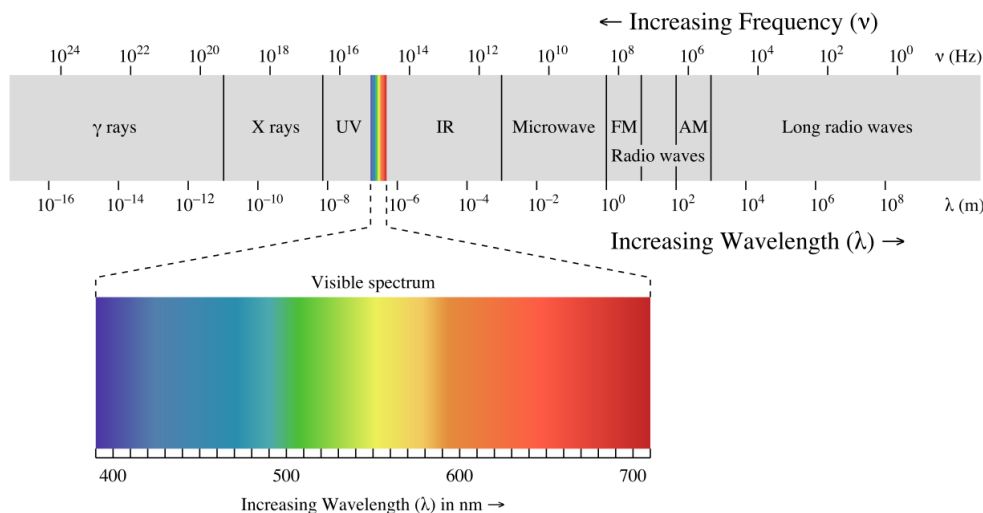
WAVES

What are waves?

- **Characteristics**
 - Wavelength, Frequency, Wavespeed
- **Behavior**
 - Superposition, Interference. Diffraction
 - Thomas Young, 1801, diffraction
 - 1817, the Académie des Sciences: diffraction would be the topic for the biannual physics *Grand Prix*. – proposed by corpuscular theorists.
 - Augustin-Jean Fresnel used Huygen's Principle, 1678.
 - Later – Airy, Stokes, Helmholtz, Kirchhoff, and others.



$$\frac{1}{c^2} \frac{\partial^2 E}{\partial t^2} = \nabla^2 E$$



Spectroscopy

Robert Bunsen and Gustav Kirchhoff
developed the spectroscope, 1859.

Ionized gas gives off radiation

Johann Balmer 1885

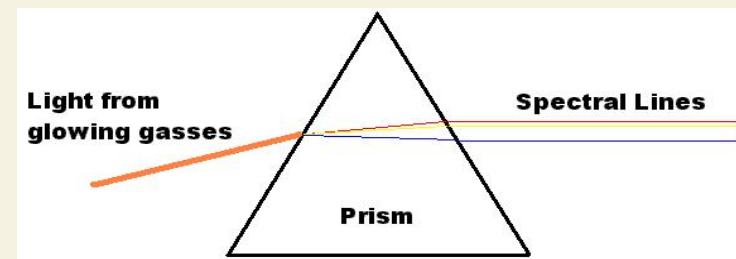
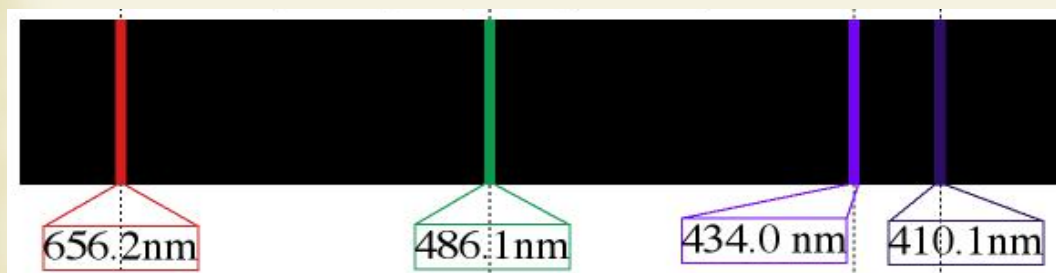
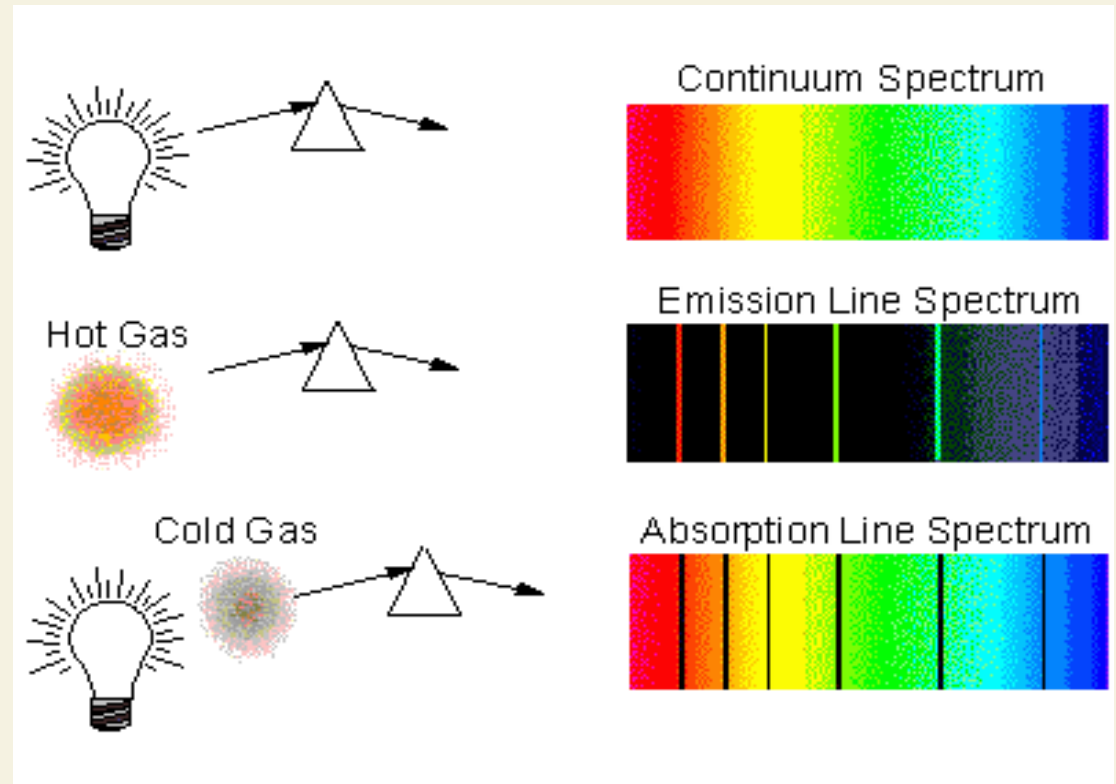
Spectral Lines: Hydrogen
410, 434, 486, 656 nm

Derived Empirical Formula:

$$\lambda = R (1/4 - 1/n^2)$$

Predicted 5th-7th lines

Lyman Series (1906-1914), ultraviolet
Paschen Series (1908), infrared

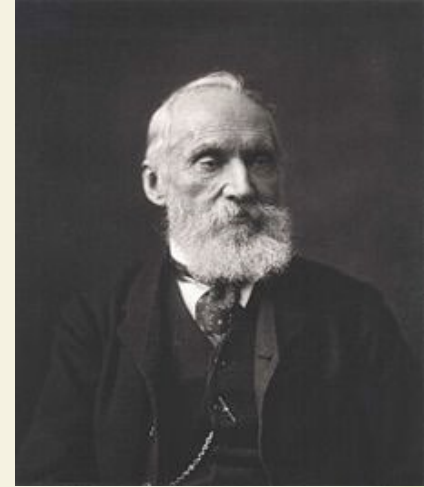


Laws of Thermodynamics

Engine: Carnot (1824), Clapeyron – heat converted to work

James Joule (1843) Mechanical Equivalent of Heat

Second law, Clausius, Thomson – “thermo-dynamics” -1850s



Laws of Thermodynamics

1. Adding heat energy or doing work on a body increases internal energy.
(Energy conservation)
2. A body will not spontaneously get hotter.
(Entropy and the Arrow of Time)

Joseph Stefan (1835-1893) and Ludwig Boltzmann (1844-1906)

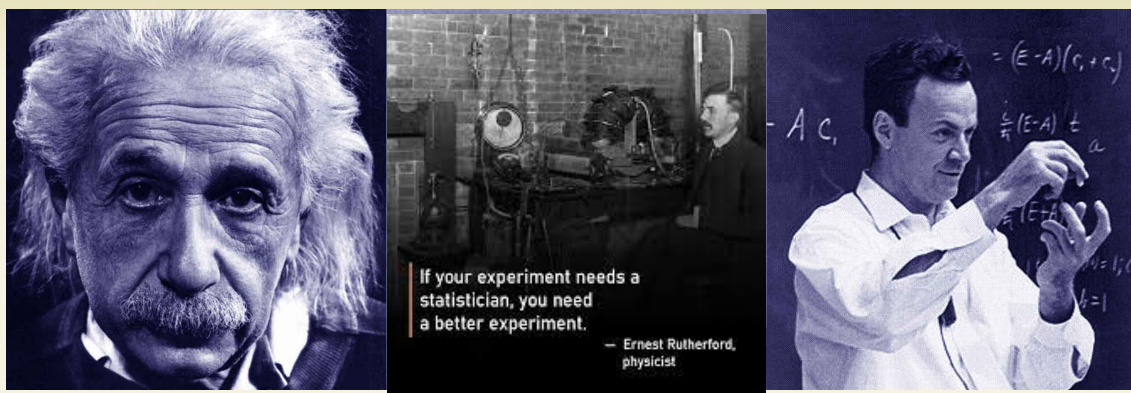
Heated bodies Radiate - Stefan-Boltzmann Law

Radiation from blackbody proportional to T^4 .

$$P = e\sigma AT^4$$

Maxwell-Boltzmann Statistical Mechanics – *Bah Humbug!*

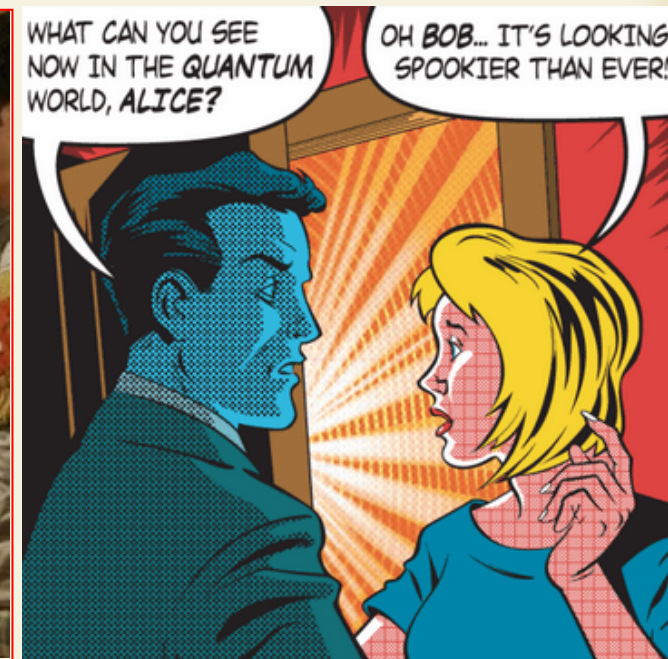
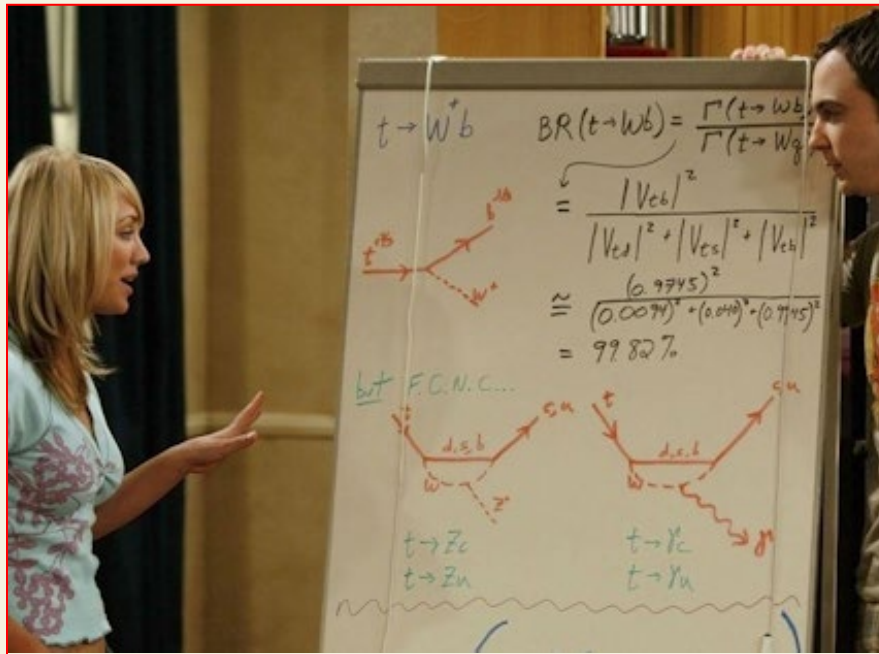
Energeticism (Helm, Ostwald) vs atomism (Boltzmann, Klein).



$$H|\psi\rangle = i\hbar \frac{\partial}{\partial t} |\psi\rangle$$

$$G_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}$$

This is not your grandfather's physics



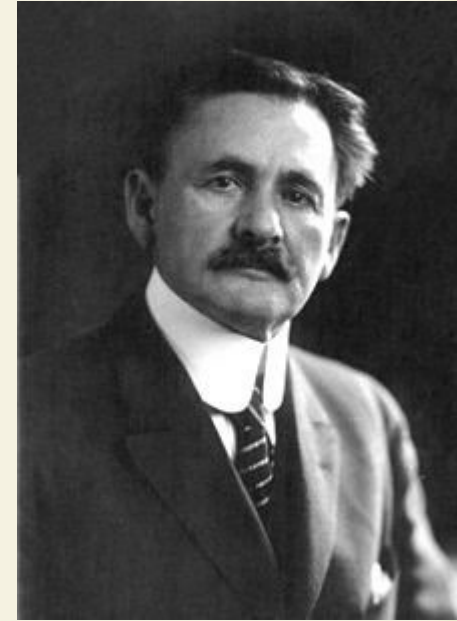
$$\left[i\hbar \gamma^\mu \partial_\mu - mc \right] \psi = 0$$

$$\Delta x \Delta p \geq \frac{\hbar}{2}$$

Physics Revolutions

Albert A. Michelson, NP 1907 (1852 – 1931)

“it seems probable that most of the grand underlying principles have been firmly established and that further advances are to be sought chiefly in the rigorous application of these principles .” - 1894



1897 Joseph (J.J.) Thomson measures electron, NP 1906
“plum-pudding” model of atom



Nobel Prizes covered five fields: physics, chemistry, physiology or medicine, literature, and peace. - Tuesday, 7 October, 11:45 CEST

Radioactivity and the Atom

1892 - Cathode ray tube, Philipp Lenard NP 1905

1895 - Wilhelm Röntgen discovers X-rays. NP 1901,

1896 - Henri Becquerel discovers radioactivity NP 1903

1897 - J.J. Thomson discovers the electron NP 1906.

1898 - Marie and Pierre Curie discover the first radioactive elements:
radium and polonium NP 1903.

1899 - Ernest Rutherford divided radiation into alpha and beta rays NP 1908.

1900 - Pierre Curie observes gamma rays.

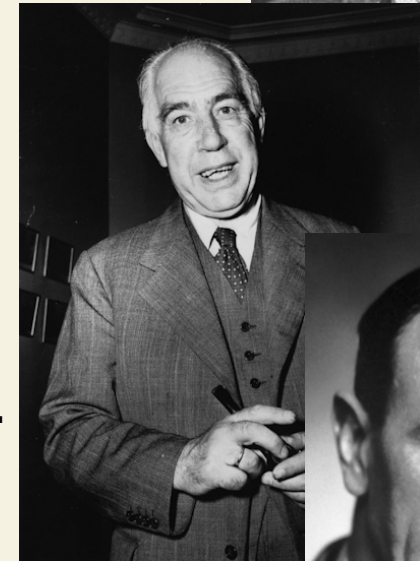
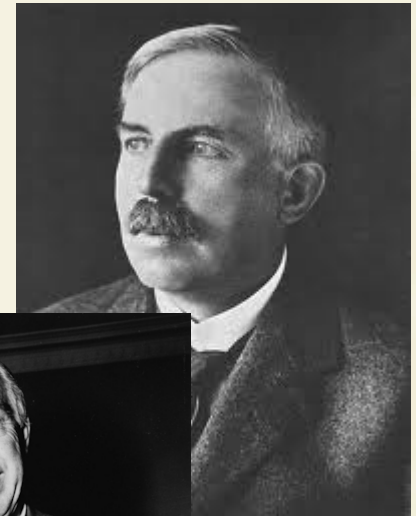
1911 - Ernest Rutherford discovers the atomic nucleus

1913 - Niels Bohr introduces the first atomic model, NP 1922
the mini solar system.

1913 - Hans Geiger invents counter for measuring radioactivity.

1920 - Ernest Rutherford discovered and named the proton.

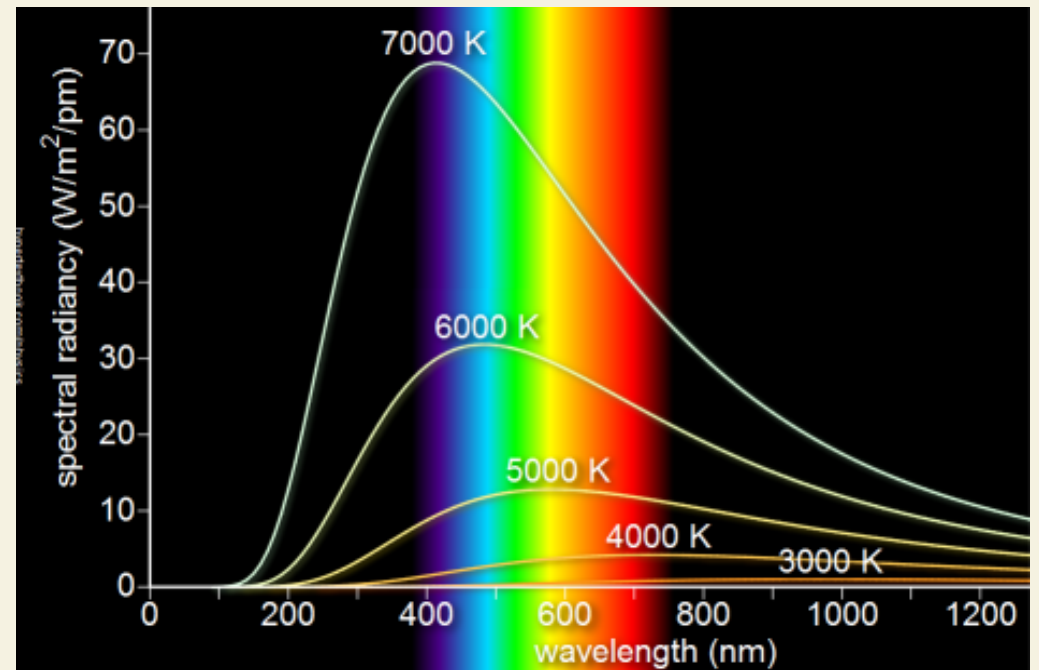
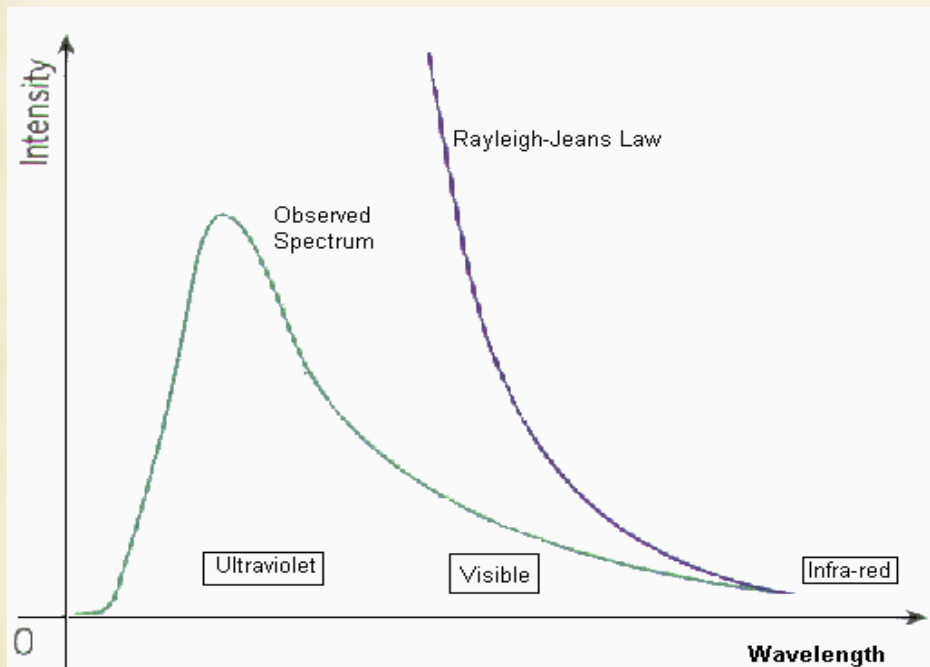
1932 - James Chadwick discovers the neutron. NP 1935



Blackbody Spectrum

Blackbody - a theoretical object that absorbs 100% of the radiation that hits it.

Wien's Law (1896) ^{NP 1911} and **Rayleigh** ^{NP 1904} - **Jeans Law** (1900/1905)



Ultraviolet (Rayleigh–Jeans) Catastrophe “... when you turn on your toaster, you are instantly fried by a massive gamma ray burst, since your little blackbody toaster should emit infinite energy at the shortest wavelengths.”

For fixed T , monochromatic energy density becomes infinite at infinitely small wavelengths!

Progress on BB Radiation

“A new scientific truth does not triumph by convincing its opponents and making them see the light, but rather because its opponents eventually die, and a new generation grows up that is familiar with it.” – Max Planck

Practical problem – efficient street lighting for Berlin in late 1800s

Planck not concerned with UV Catastrophe ... didn't believe in atoms ...

1859 Kirchhoff challenge – derive blackbody formula

1889 Planck succeeds Kirchhoff

1896 Wien's distribution Law

1899 Planck derives Wien's Law using electrodynamics

1900 Measurement contradiction at low frequency

1900 Planck's formula (from the entropy of a resonator) matches experiment

See Boltzmann's grave!

$$S = k \log W$$

Quantum Theory

Max Planck NP 1918

(Karl Ernst Ludwig Max Planck 1858-1947)

What makes hot solids glow different colors?

Nov. 1900 – he used Boltzmann's theory.

oscillators can only vibrate at discrete frequencies:

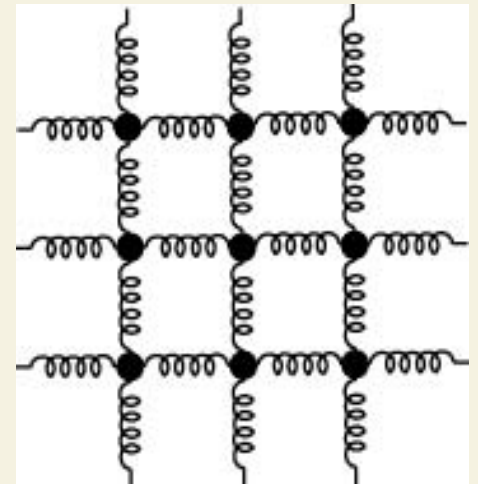
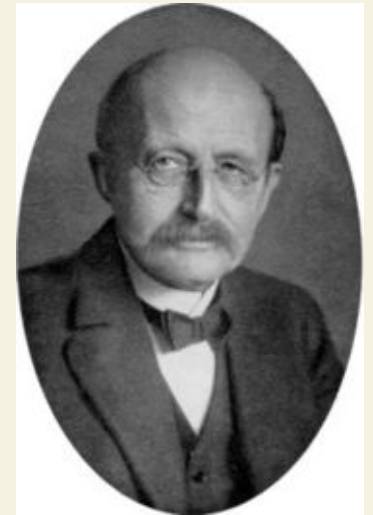
$$E_n = n(hf), n = 1, 2, 3, \dots$$

Thus, the energy difference

$$\Delta E = hf,$$

where Planck's constant is given by

$$h = 6.63 \times 10^{-34} \text{ Js}$$



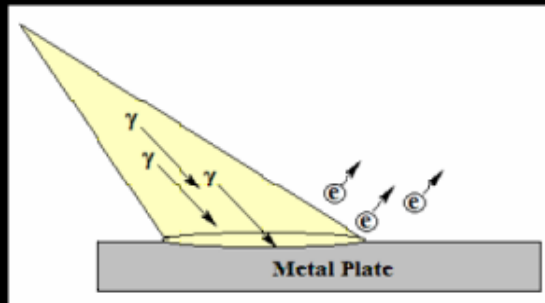
Albert Einstein - 1905

Received 18 March and published 9 June,

Photoelectric Effect

Light can cause currents

- **Electrons can be ejected from irradiated metal plates.**
- **Light can be act like either particles (quanta) or waves.**
- **Extended Planck's ideas of energy quantization.**
- **Lead to explanation of electromagnetic spectra,**
- **Lead to the development of lasers, transistors and other applications.**

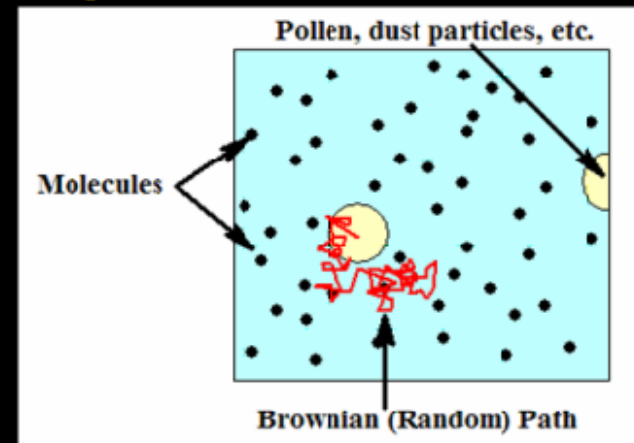


Received 11 May and published 18 July

Brownian Motion

the random movement of particles suspended in a fluid

- **Explained the observations credited to Robert Brown, 1827**
- **Predicted molecular motion and size through the effects of collisions with larger particles**
- **Einstein's work lead to an acceptance of molecular theory**



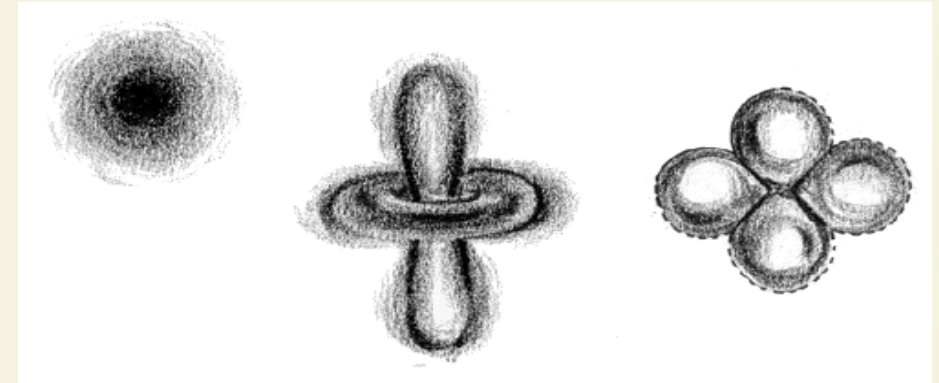
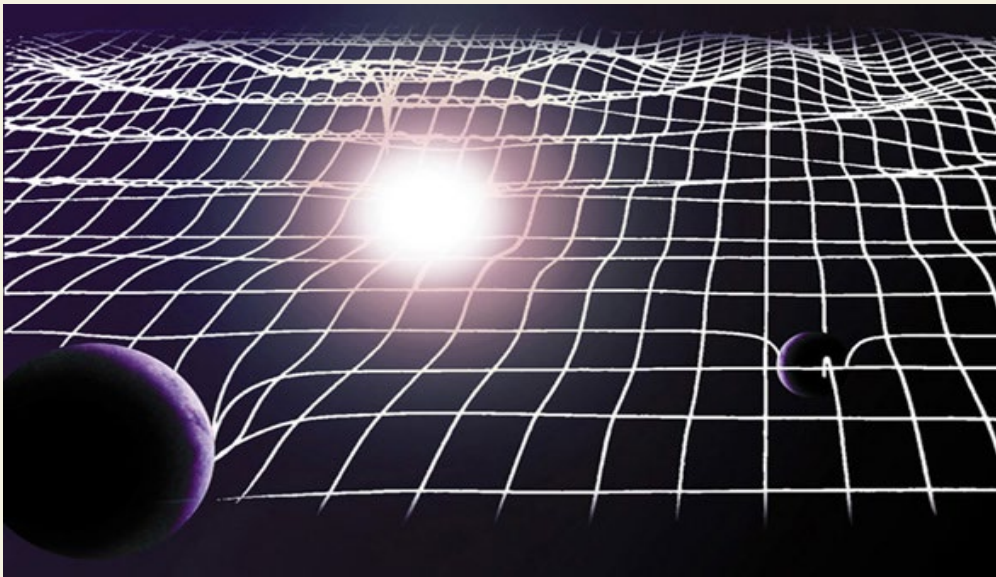
Special Relativity: received on 30 June and published 26 September

Mass-Energy Equivalence: received September 27 and published 21 November

Paradigm Shifts — *in progress*

Relativity

Space and Time are not absolute, there is no preferred frame.
and not Euclidean



Quantum Mechanics

Loss of Determinism:



$$\Delta t = \gamma \Delta \tau$$

$$\Delta x \Delta p \geq \frac{\hbar}{2}$$

Determinism: If we knew all of the initial conditions, we couldn't predict the exact position and velocity of an electron.

Bohr's Atom - 1913

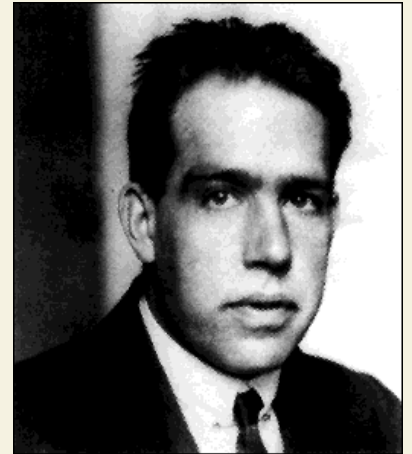
Niels Bohr (1885-1962)

Accelerating electrons radiate at specific energies.

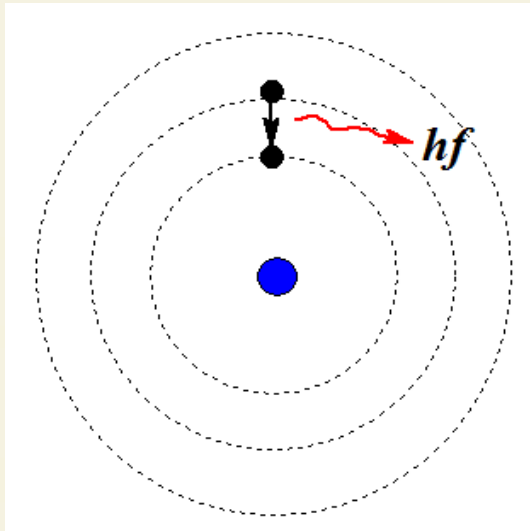
Are atoms stable?

Assume angular momentum is quantized.

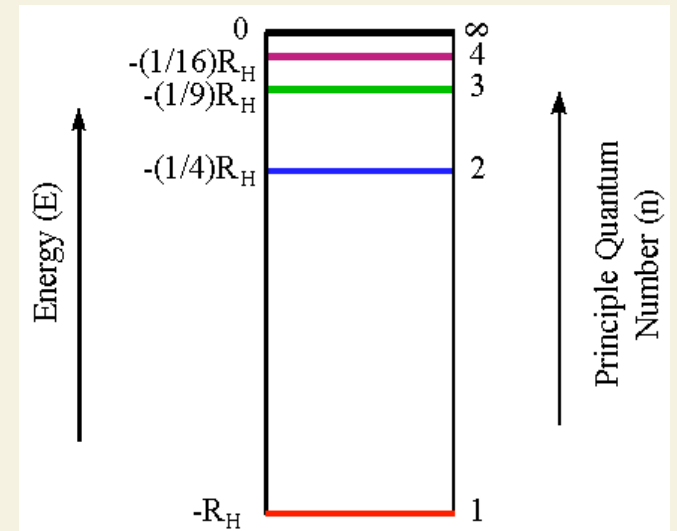
Derived Balmer's formula (1884) $\frac{m^2}{m^2 - 2^2} \times 3645.6 \text{ \AA}$ $m = 3, 4, 5, \dots$



Niels Bohr



$$E_n = R_H \left(\frac{1}{m^2} - \frac{1}{n^2} \right)$$



Early History - Quantum Mechanics

1900 - Planck Explains Blackbody Radiation NP 1906

1905 - Einstein - the Photoelectric Effect, Photons NP 1921

1913 - The Bohr Model for Hydrogen NP 1922

1916 - Confirmation of photon, Millikan NP 1923

1922 - Stern-Gerlach Experiment NP 1943

1923 - Compton NP 1927 Effect - X-Ray Scattering

1924 - de Broglie NP 1929 - Particles Behave Like Waves

1925 - Matrix Mechanics - Heisenberg NP 1932, Born NP 1954, Jordan

- Pauli Principle NP 1935

- Uhlenbeck and Goudsmit, spinning particles

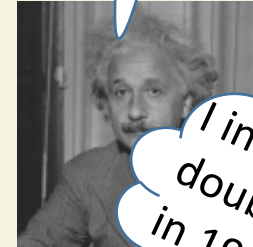
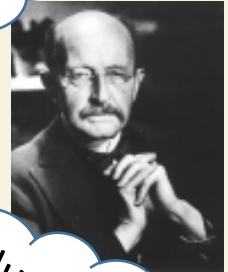
1926 - Wave Mechanics - Schrödinger NP 1933

1927 - The Uncertainty Principle - Heisenberg

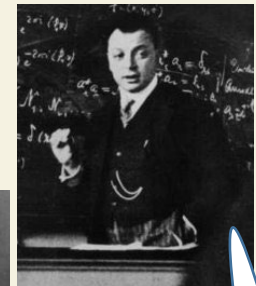
- Davisson NP 1937 - Germer, Thomson NP 1937 - Verified de Broglie's idea

1928 - Relativistic Quantum Mechanics - Dirac NP 1933

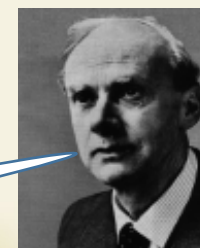
What's with these kids?



I introduced doubling e-states in 1924



I gave theory of spin in 1927



$$i\gamma\partial\psi = m\psi$$

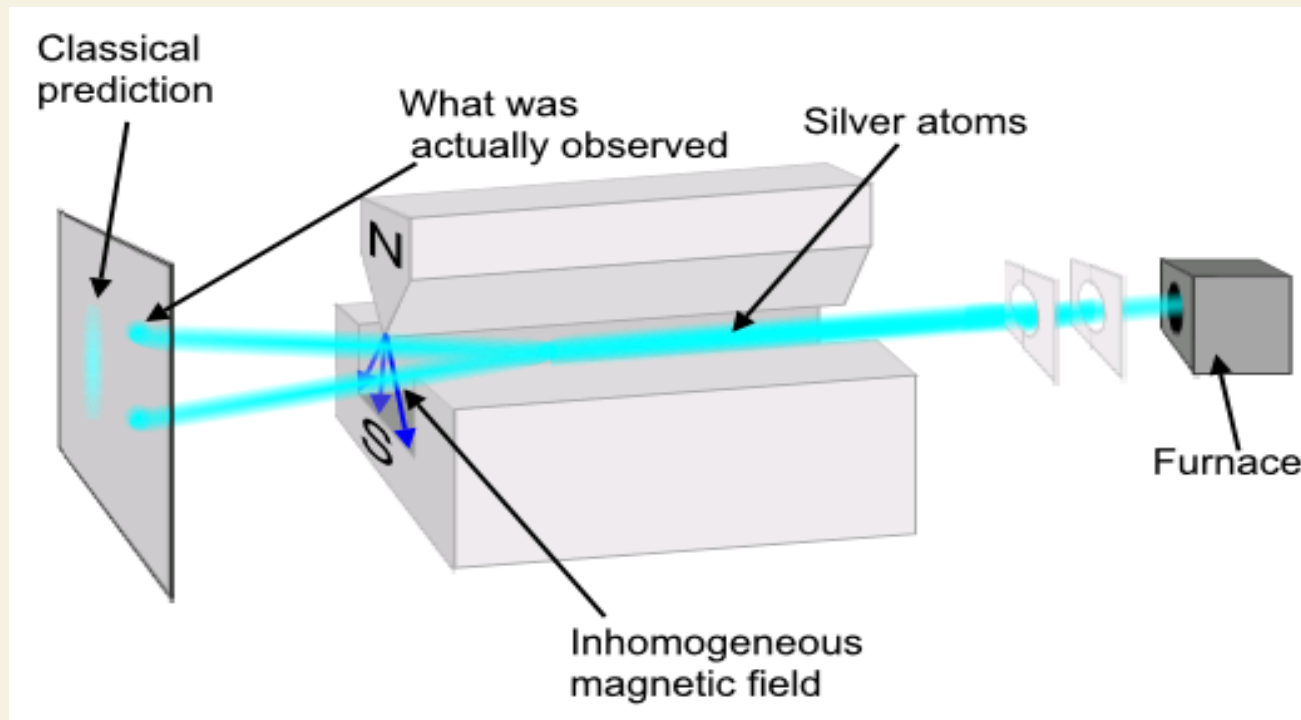
Stern-Gerlach Experiment - 1922

Otto Stern (1888-1969)

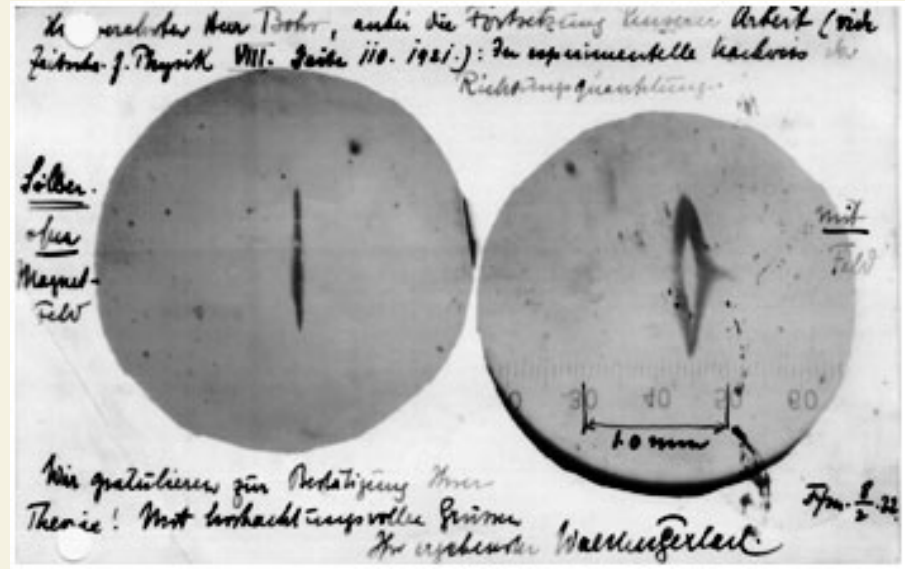
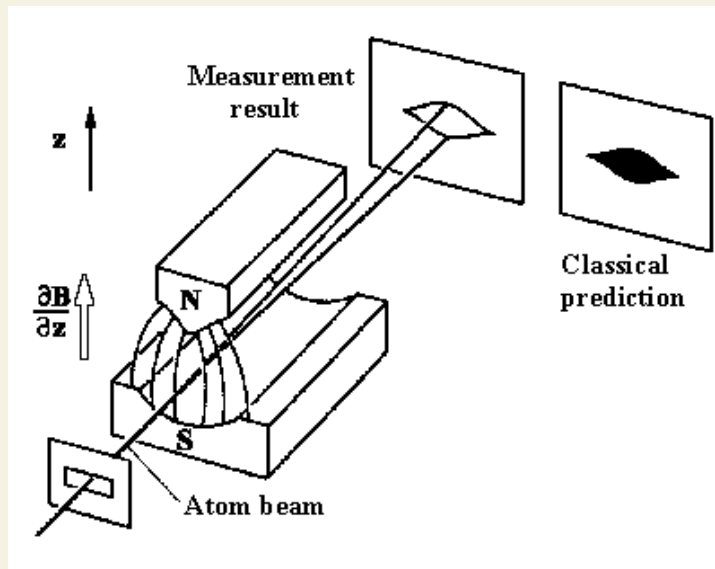
Walther Gerlach (1889-1979) – Was it the cigar?

Ag atoms sent through inhomogeneous magnetic field.

Demonstration of space quantization.



Stern-Gerlach Results



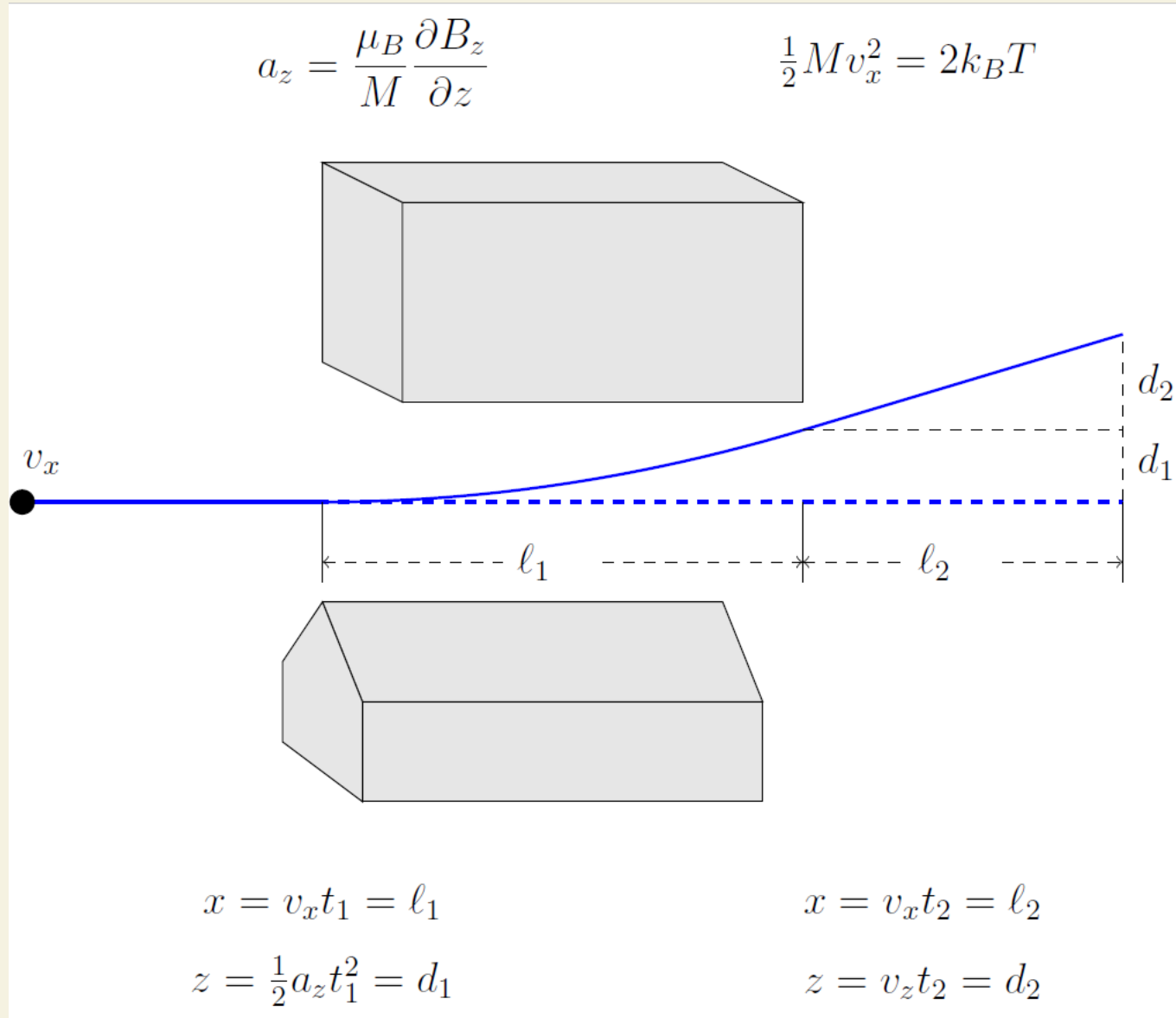
Test of classical vs quantum theory of angular momentum (L).

$L = 0$ or $L = 1$ – No splitting for $L = 0$?

Uhlenbeck and Goldsmit (1925,1926) proposed **intrinsic spin**.

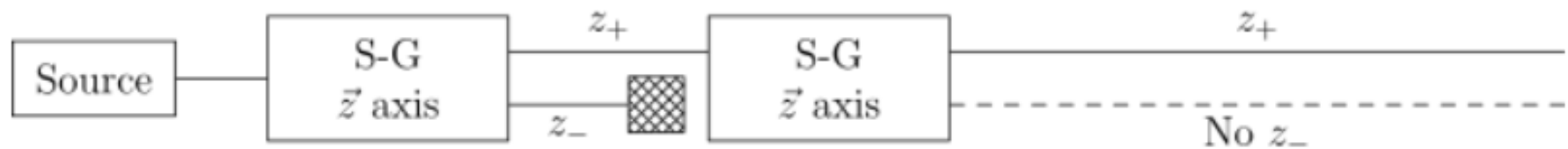
- to explain the anomalous Zeeman effect,
(the splitting of spectral lines in a magnetic field).

Stern-Gerlach Particle Path – Problem 1

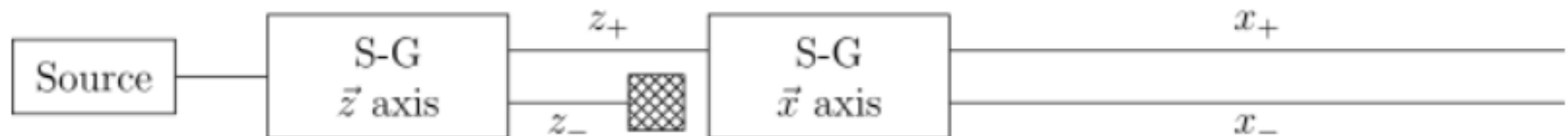


Stern-Gerlach Thought Experiments

1



2



3

