

# The Physics of Black Holes PHY 490, Spring 2021

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Figure 1: M87 Jet.

### <span id="page-2-0"></span>The Syllabus

#### • Website

[http://people.uncw.edu/](http://people.uncw.edu/hermanr/BlackHoles/) [hermanr/BlackHoles/](http://people.uncw.edu/hermanr/BlackHoles/)

#### • Grades





#### Figure 2: Main Textbooks.

#### • Textbooks

Black Holes: A Student Text, 3rd Edition, D. J. Raine and E. Thomas, 2015.

Gravity's Fatal Attraction (Black Holes in the Universe), 3rd Edition, M. Begelman and M. Rees, 2020.

## COVID-19 Instruction

- This class is: Face to Face unless . . .
- [https://uncw.edu/](https://uncw.edu/coronavirus/) [coronavirus/](https://uncw.edu/coronavirus/)
	- Social Distancing
	- Face Coverings
	- Wash Hands
- Office Hours



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Students who experience COVID-19 symptoms should immediately contact the Abrons Student Health Center at (910) 962-3280.

### <span id="page-4-0"></span>Course Outline - Black Holes: A Student Text

- Compact Objects
- Special Relativity
- Vectors and Tensors
- General Relativity
- Schwarzschild Metric
	- Geodesics
	- Classic tests
	- Visualization Interstellar, EHT
- Kerr Metric
- Black Hole Thermodynamics
	- Information Paradox
- Wormholes and Time Travel



Figure 3: Einstein's Equation.

We begin with Chapter 7, Astrophysical Black Holes, and the book Gravity's Fatal Attraction.

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#### <span id="page-5-0"></span>Course Outline - Gravity's Fatal Attraction

- 1. Gravity Triumphant
- 2. Stars and Their Fates
- 3. Black Holes in Our Backyard
- 4. Galaxies and Their Nuclei
- 5. Quasars and Kin
- 6. Jets
- 7. Blasts from the Past
- 8. Black Holes in Hibernation
- 9. Cosmic Feedback
- 10. Postcards from the Edge
- 11. Gravitational Waves
- 12. Through the Horizon



## <span id="page-6-0"></span>Compact Astrophysical Objects

- Endpoints of stellar evolution.
	- White dwarfs.
	- Neutron stars.
	- Black holes.
- Constituents of galaxies
- Extreme Objects at centers of
	- Milky Way Sagittarius A\*  $-4.1 \times 10^6 M_{\odot}$ .
	- M87\* in Virgo Cluster
		- $-6.5 \times 10^{9}$ M<sub>o</sub>.
- Detection modes
	- Neutron stars, BHs
		- radio, X-ray emissions.
	- White dwarfs optical.



#### [https://www.nasa.gov/sites/default/](https://www.nasa.gov/sites/default/files/chandra20140105.jpg) [files/chandra20140105.jpg](https://www.nasa.gov/sites/default/files/chandra20140105.jpg)

#### Black Holes

- 1783 John Michell
	- applied gravity to corpuscules.
	- predicted dark stars.
- 1796 Pierre-Simon Laplace
	- predicted point of no return.
- 1915 Albert Finstein GR.
- 1916 Karl Schwarschild - Spherical symmetry.
- 1939 J. Robert Oppenheimer and Hartland Snyder - Stellar collapse.
- 1939 Einstein denied.
- 1967 John Wheeler coined name.
- 1970 C.V. Vishveshwara.
	- Stability of Schwarzschild BH.
	- Quasinormal modes, ring down.





- 1964, 1971 Cygnus X-1
	- $-6070$  lyr,  $14.8M_{\odot}$ .
	- $R_s = 44$  km.  $[1M_{\odot} \rightarrow 2.95$  km
- [List of Black Holes](https://en.wikipedia.org/wiki/List_of_black_holes)

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## Black Holes Have No Hair

- Oppenheimer and Snyder assumed
	- Perfectly spherical.
	- Non-rotating.
	- No imperfections.
	- **Controversial**
- 1964 Roger Penrose If matter has a positive energy-density, a trapped surface has a singularity.
- 1966 Stephen Hawking The Singularity Theorem is for the whole universe, and works backwards in time.
- 1967 Werner Israel  $1^{st}$  Schwarzschild.
- 1972 Jacob Bekenstein (via [Wheeler\)](https://www.youtube.com/watch?v=BIHPWKXvGkE&t=6m)
	- "Black holes have no hair."
	- just mass, angular momentum, charge. Figure 5: [Video.](https://www.youtube.com/watch?v=ku88Mx8i8A8)





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#### Black Hole Thermodynamics

- 1972 Jacob Bekenstein Black holes should have entropy.
- 1974 Stephen Hawking
	- They have a temperature.
	- And emit Hawking radiation.

$$
S=\frac{kA}{4\ell_P^2},\quad kT=\frac{hc^3}{16\pi^2GM}.
$$

- Laws of BH Thermodynamics.
- Black Holes Evaporate. Where does information go?
- Holographic Principle.
	- t'Hooft, Susskind.
	- Information Paradox.
	- $AdS/CFT$  correspondence.



#### White Dwarfs

- 1783 William Herschel.
	- 40 Eridani, triple system, 17 lyr - 1910 Henry Norris Russell, Edward Charles Pickering and Williamina Fleming identified as Spectral Class A.
- 1844 Friedrich Wilhelm Bessel.
	- Sirius (Canus Major, Dog star, 8.6 lyr) and Procyon (Canus Minor, 12 lyr)
	- Companion white dwarfs.
- 1922 Coined by Willem Luyten.
	- over 9000, 0.5-0.7 $M_{\odot}$ [0.8%-2% R<sub>o</sub>].

#### [White Dwarf Stars Near The Earth](https://faculty.wcas.northwestern.edu/~infocom/The Website/plates/WD.pdf)



Figure 6: Sirius A and B.

#### [https://en.wikipedia.org/wiki/White\\_dwarf](https://en.wikipedia.org/wiki/White_dwarf)

2018 - [Astronomers Find Planet Vulcan Right Where Star Trek Predicted it.](https://www.universetoday.com/140045/astronomers-find-planet-vulcan-40-eridani-a-right-where-star-trek-predicted-it/)

## Subrahmanyan Chandrasekhar (1910-1995)

- 1930 At 19, traveled to England.
- Read William A. Fowler's 1926 e <sup>−</sup>-degeneracy.
	- In fermion gas, electrons move into unfilled energy levels.
	- Particle density increases and electrons fill the lower energy states.
	- Other e<sup>−</sup>'s occupy states of higher energy (even at low temperatures).
	- Degenerate gases resist. compression due to the Pauli exclusion principle.
	- Generates a *degeneracy pressure*.
	- Applied Fermi-Dirac statistics.
- Degeneracy pressure vs gravity
	- Chandrasekhar limit  $M \sim 1.4 M_{\odot}$ .



#### Neutron Stars

- 1932 Chadwick discovers neutron.
- 1933 Walter Baade, Fritz Zwicky - neutron stars result of supernovae.
- 1931.1937 Lev Landau [Work](https://arxiv.org/pdf/1210.0682.pdf) on white dwarfs and neutron stars.
- 1939 Oppenheimer-Volkoff-Tolman - max  $M \sim 0.75 M_{\odot}$ [Now, 1.5-3M $_{\odot}$ ].
- 1965 Crab Pulsar, 1054 Supernova - Antony Hewish, Samuel Okoye.
- 1967 Scorpius X-1, Iosif Schlovsky.
- 1967 Jocelyn Bell, Antony Hewish - PSR B1919+21 pulsar.
- 1974 Taylor-Hulse binary pulsar.



Figure 7: Crab Nebulae.

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## Taylor-Hulse Binary Pulsar PSR B1913+16

- Pulsars: pulsating radio star. Rapidly rotating neutron star.
- Magnetic lighthouse.
- Regular flashing
	- 2x each cycle 17 per second.
- Regular variations 7.75 hrs and 3s differences due to elliptical orbit.
- 305 m Arecibo Radio Telescope in Puerto Rico. (Collapse, Nov. 2020)
- 1993 Nobel Prize
	- Joe Taylor and Russell Hulse.



Figure 8: Binary Pulsar and Arecibo Telescope.

- Einstein's Prediction of radiation loss as gravitational waves.
- Calculated masses, periastron (closest distance), and apastron (furthest).
- Energy Loss:  $\frac{dE}{dt} = 7.35 \times 10^{24}$ W.
- Orbital period change:  $\frac{dT}{dt}$  $= 7.65$  milliseconds/yr.
- First indirect observation of gravitational waves.



Figure 9: Binary Pulsar Data

#### Accretion in Binary Systems

- 1960s X-Ray Astronomy.
- Scorpius X-1: 1-10 keV.
- 20 sources by end of decade.
- Cygnus X-1 varies in time.
- Accretion.
	- Gas forms disk around compact object.
	- Friction leads to spiraling inward.
	- Gravity and friction compress, raise temperature.
	- Leads to FM emission.



#### Accretion History

- 1926 Arthur Eddington accretion rate depends on velocity, density gravity focuses towards CM.
- Hoyle, Lyttleton rate greater with collisions.
- 1952 Herman Bondi
- Algol in Perseus - eclipsing binary, 2.9 days.
- Small blue hidden by larger red.
- Blue star tending to red giant.
- Used to be red, overflowed Roche lobe - past Lagrange pt.





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### Active Galaxy Nuclei

- 1918 Heber Curtis
	- Straight ray from M87.
- 1920 Island Universes
	- Curtis-Shapley Debate
- Strange behavior from galaxy centers.
	- Too much blue, UV.
	- Bright.
	- Active galaxies with AGN.
	- Quasars, starbursts.
- Karl Seyfert
	- Intense blue nuclei.
	- Very high velocities.
	- Seyfert galaxies.



- 1954 W. Baade, R. Minkowski - Cynus A
	-
	- $-300 \times M31$  distance.
	- Dumbbell lobes.
- 1956-9 Geoffrey Burbidge Lobe energy very high.

## Quasars - Quasi Stellar Objects

- 1963 Hazard, et al. pinpoint 3C 273.
- 1963 Maartin Schmidt, 3C 273.
	- Spectrum: 16 % redshift
	- Distance: 10<sup>9</sup> lyr.
	- Fluctuating brightness over 1 mo.
- Quasars QSOs.
- Hubble Telescope detects many.
- AGN Properties:
	- Energy emitted large rate.
	- Extremely compact.
	- Not normal radiation.
	- Gas moves at very high speeds.
- Manifestation of massive BHs.



#### Massive Black Holes?

- Mass Compactness,  $M/R$ , Limits
	- Upper limit on R
		- brightness variation.  $R < ct$ .
	- $\bullet$  Lower limit on  $M$  luminosity.
	- Estimate lifetime energy.
		- luminosity  $\times$  age.
		- Eddington limit.
		- 100 million to billions  $M_{\odot}$ .
	- $M/R > .001c^2/G$ .
- Hoyle, Burbidge only gravitational collapse can supply energy.



#### Quasar Models

- 1969 Donald Lynden-Bell
	- Quasars powered by accretion.
	- BHs  $> 100$  million  $M_{\odot}$ .
- Sources of Emission
	- Accretion disk like X-Ray binaries.
	- EM processes
		- Tap spin energy.
		- A flywheel with disk as brake.
- Different emissions
	- X-Rays captured by disk.
	- Turned to UV, optical, IR.
- Need Mass, Spin, and Orientation. Figure 10: M87 jet.



#### Radio Astronomy

- 1931 Karl Jansky
	- Bell Labs telecommunications.
	- Sensitive antenna
		- transaltantic cable noise.
		- Not terrestrial!.
- 1944 Grote Reber
	- First sky map of Milky Way.
	- Radio Emissions, Cygnus.
- 1950s Martin Ryle - Idea of arrays of dishes.
- 1970s Telescope arrays.
- Detailed hot spots and lobes.



VLA - Very Large Array - Socorro, NM.

- 27 linked radio telescopes.
- 25 m diameter.
- Y-shaped across 40 km.
- Comparable to Hubble resolution.

### Hot Spots and Lobes

- Picked up double radio sources.
- From galaxy cores.
- Superhot, magnetized gas ejection?
- 70s Blobs powered by twin streams of gas from galactic core hotspot.
	- Source of radio waves.
- Travels through intergalactic medium, pushing matter away at 60% c.
- Deceleration leads to shock waves.
- Energy of relativistic e<sup>−</sup>'s and magnetism. - Synchrotron radiation.
- Hot spot  $100,000$  to  $10^6$  yrs.
- $\bullet$  Moves to lobes, persists  $10^8$  yrs.



#### Figure 11: [Radio Galaxies and Quasars](https://www.cv.nrao.edu/~abridle/images.htm)

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- 1978 Jets only theoretical.
- Not seen to this point.
- VLA observations changed that.
- Late 1978 SS 443 X-ray binary.
- Bruce Margon, et al. - Spectrum had three parts: Normal, red shifted, blue shifted.
- Rotating jets precessing 163 days.
- Stability due to high speed, low density, stiff B-field.
- Can lead to radio trail bend.
- Then there is the core using VLBI.



#### Black Holes - The Last Decade

- 2014- *Interstellar*, the movie.
	- Black hole visualization.
- 2016 Gravitational Waves, LIGO.
- 2019 First picture, Event Horizon.
- Nobel Prizes:
	- 2011 Saul Perlmutter, Brian P. Schmidt and Adam G. Riess.
	- 2017 Rainer Weiss, Barry C. Barish and Kip S. Thorne.
	- 2019 James Peebles, Michel Mayor and Didier Queloz.
	- 2020 Roger Penrose, Reinhard Genzel and Andrea Ghez
- Now, back to physics ...



## <span id="page-25-0"></span>Isaac Newton (1642-1727)

In 1680s Newton sought derivation of Kepler's planetary laws of motion.

- Principia 1687.
- Took 18 months.
- Laws of Motion.
- Law of Gravitation.
- 1759 Halley's Comet

Objects on the Earth feel same force as the planets orbiting the sun.

$$
F=G\frac{mM}{r^2}.
$$



## John Michell (1724-1793) - restored from obscurity

- Natural philosopher, clergyman
- Applied Newton's Corpuscular Theory.
- Philosophical Transactions of the Royal Society of London, 1783.
- A star's gravitational pull might be so strong that the escape velocity would exceed the speed of light!
	- Dark Stars.
- Pierre-Simon Laplace (1749-1827), Exposition du Système du Monde -1796
- Consider escape velocity.



Figure 12: Firing projectiles.

#### Escape Velocity from  $E = T + U$

- Kinetic energy:  $T = \frac{1}{2}mv^2$ .
- Potential energy:

• Escape velocity: Energy conservation.

$$
U = \int_{\infty}^{R} F(\rho) d\rho
$$
  
= 
$$
\int_{\infty}^{R} G \frac{mM}{\rho^2} d\rho = -G \frac{mM}{R}.
$$

$$
\frac{1}{2}mv^2 - G\frac{mM}{R} = 0.
$$
  

$$
v = \sqrt{\frac{2GM}{R}}.
$$



Escape rates for some celestial bodies,  $G=6.67\times 10^{-11} N m^2/kg^2.$ 



For light,  $R = \frac{2GM}{c^2}$ ,  $v = c = 3.0 \times 10^8$  m/s.

- Earth,  $R = 0.0088$  m.
- Sun,  $R = 2.9$  km,
- $\bullet$   $\frac{\mathsf{Sun Mass}}{\mathsf{Earth Mass}} = 3.3 \times 10^5$

But, light is a wave!

**Page 1 of text:** Let  $\rho \sim M/R^3$ . Light fails to escape when

$$
M \sim (c^2/G)^{3/2} \rho^{-1/2}
$$

For lead,  $\rho \sim 5000 \text{ kg-m}^{-3}$ ,  $M\sim 7.01\times 10^{38}$ kg  $=3.5\times 10^8$ M $_\odot$ . Then explain Eq. (1.1),  $M\sim 10^8(\rho_*/\rho)^{1/2}M_\odot$ .

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Figure 13: Equations of Electricity and Magnetism Gauss' Law, No magnetic monopoles, Maxwell-Ampere Law, Faraday's Law.

#### <span id="page-30-0"></span>1905 - Einstein's Miracle Year

- Photoelectric effect (March/June).
- Brownian motion (May/July).
- Special Relativity (June/September).
	- Inspired by Maxwell's Theory.
	- Two Postulates
		- Physics is same for all inertial observers.
		- Speed of light same for everyone.
	- Consequences.
		- Time dilation.
		- Length contraction.
		- Space and Time relative.
- $E = mc^2$ .(September/November)



Figure 14: Einstein (1879-1955)

#### Time Dilation - Moving clocks tick slower.

- Examples
	- Plane trip
		- 620 mph  $(277 \text{ m/s})$
		- Lose 3 ns/hr.
	- Muon

 $D = \frac{c\tau}{2}$ 2

- Cosmic rays collide with nuclei.
- Pions decay into muons.
	- Lifetime 2.2  $\mu$ s
	- At 0.995c, travels 660 m





## Space, Time, and Spacetime

From René Descartes:



#### From Hermann Minkowski:

space space

Particles move in straight lines to maximize lifetime.

#### Lorentz Transformation



- Clock  $C_0$ , synchronized with  $C_1$ ,  $C_2$ .
- Pulse sent at at  $t = 0$ .
- Travels *ct* to  $C_1$ ,  $C_2$ .
- Then,  $x = \pm ct$ , or  $x^2 c^2t^2 = 0$ .
- $\bullet$  System  $S'$  travels v w.r.t.  $S$ .
- $x'^2 c^2 t'^2 = 0.$
- $\Delta x^2 c^2 \Delta t^2 = \Delta x'^2 c^2 \Delta t'^2$ .



### Lorentz Transformation (con't)

• 
$$
\Delta x^2 - c^2 \Delta t^2 = \Delta x'^2 - c^2 \Delta t'^2.
$$

- $\Delta x' = 0$ ,  $C'_0$  at rest w.r.t.  $S'$ .
- According to S,  $C'_0$  at  $x = vt$ .

$$
x2 - c2t2 = -c2t2
$$
  
\n
$$
(v2 - c2)t2 = -c2t2
$$
  
\n
$$
t = \gamma t'
$$
  
\n
$$
\gamma = \frac{1}{\sqrt{1 - \frac{v2}{c2}}}
$$

- Galilean transformation,  $x = x' + vt', t = t'.$
- Assume Lorentz transformation,  $x = ax' + bct', t = \gamma t'.$



•  $x' = 0, x = vt \Rightarrow vt = bc\gamma^{-1}t.$ So,  $b = \beta \gamma$ ,  $\beta = v/c$ .

• 
$$
x = 0, x' = -vt \Rightarrow
$$
  

$$
0 = -avt' + bct', \text{ or } a = \gamma.
$$

- Thus,  $x = \gamma(x' + \beta ct')$ .
- $t = x/c, t' = x'/c$   $\Rightarrow$  $ct = \gamma(ct' + \beta x')$ .

.

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The Lorentz transformation in  $1+1$  dimensional spacetime is

$$
x = \gamma(x' + vt') = \gamma(x' + \beta ct'), \qquad (1)
$$

$$
ct = c\gamma(t' + \frac{vx'}{c^2}) = \gamma(ct' + \beta x'), \qquad (2)
$$

with Lorentz factor 
$$
\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{1}{\sqrt{1 - \beta^2}}, \ \beta = \frac{v}{c}.
$$

The inverse transformation is

$$
x' = \gamma(x - vt) = \gamma(x - \beta ct), \qquad (3)
$$

$$
ct' = c\gamma(t - \frac{vx}{c^2}) = \gamma(ct - \beta x). \tag{4}
$$

This is also referred to as a Lorentz boost.

#### Matrix Representation

$$
\begin{pmatrix}\n x \\
 ct\n\end{pmatrix} = \begin{pmatrix}\n \gamma & \gamma \beta \\
 \gamma \beta & \gamma\n\end{pmatrix} \begin{pmatrix}\n x' \\
 ct'\n\end{pmatrix}
$$
\n
$$
= \begin{pmatrix}\n \cosh \chi & \sinh \chi \\
 \sinh \chi & \cosh \chi\n\end{pmatrix} \begin{pmatrix}\n x' \\
 ct'\n\end{pmatrix}
$$

Here  $\beta=$  tanh  $\chi, \, \gamma= (1-\beta^2)^{-1/2}= \cosh \chi,$  where  $\chi$  is called the rapidity. The inverse transformation is given by

$$
\begin{pmatrix} x' \\ ct' \end{pmatrix} = \begin{pmatrix} \cosh \chi & \sinh \chi \\ \sinh \chi & \cosh \chi \end{pmatrix}^{-1} \begin{pmatrix} x \\ ct \end{pmatrix}
$$

$$
= \begin{pmatrix} \cosh \chi & -\sinh \chi \\ -\sinh \chi & \cosh \chi \end{pmatrix} \begin{pmatrix} x \\ ct \end{pmatrix}
$$

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$$
\begin{pmatrix} x' \\ ct' \end{pmatrix} = \begin{pmatrix} \cosh \chi & -\sinh \chi \\ -\sinh \chi & \cosh \chi \end{pmatrix} \begin{pmatrix} x \\ ct \end{pmatrix} \equiv \Lambda(\chi) \begin{pmatrix} x \\ ct \end{pmatrix}.
$$

• Composition  $\Lambda(\chi_1)\Lambda(\chi_2) = \Lambda(\chi_1 + \chi_2)$ .

$$
\left(\begin{array}{cc} \cosh\chi_1 & -\sinh\chi_1 \\ -\sinh\chi_1 & \cosh\chi_1 \end{array}\right) \left(\begin{array}{cc} \cosh\chi_2 & -\sinh\chi_2 \\ -\sinh\chi_2 & \cosh\chi_2 \end{array}\right) = \left(\begin{array}{cc} \cosh(\chi_1+\chi_2) & -\sinh(\chi_1+\chi_2) \\ -\sinh(\chi_1+\chi_2) & \cosh(\chi_1+\chi_2) \end{array}\right)
$$

• Addition of Velocities:

$$
\tanh \chi = \frac{\tanh \chi_1 + \tanh \chi_2}{1 + \tanh \chi_1 \tanh \chi_2}
$$

$$
v = \frac{v_1 + v_2}{1 + \frac{v_1 v_2}{c^2}}.
$$

- Identity ( $\chi = 0$ .), Inverse, Associative.
- Similar to (imaginary) rotation group.<br>Physics of Black holes

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A passenger fires a bullet at 0.6c relative to a train moving at 0.8c. How fast is the bullet moving relative to the ground? It is not 1.4c.

Another derivation:

$$
dx = \gamma (dx' + \beta c dt') = \gamma (u'_x + v) dt',
$$
  
\n
$$
dt = \gamma (dt' + \frac{v}{c^2} dx') = \gamma \left( 1 + \frac{vu'_x}{c^2} \right) dt'.
$$

So,

$$
u_x = \frac{dx}{dt} = \frac{u'_x + v}{1 + \frac{vu'_x}{c^2}}
$$

$$
\begin{array}{|c|c|}\n\hline\n\downarrow & \rightarrow u_x, u'_x & \hline\n\downarrow & \rightarrow v \\
\hline\n\end{array}
$$

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#### Minkowski Diagrams

- Reference frame  $S : (x, ct)$ .
- Reference frame  $S' : (x', ct').$
- $x'$ -axis:  $x' = 1$ ,  $ct' = 0$ .
- Then,  $x = \gamma$ ,  $ct = \beta \gamma$ .
- Thus,  $ct = \beta x$ .
- x'-axis has slope  $\beta = v/c$
- $ct'$  axis:  $x' = 0$ ,  $ct' = 1$ .
- Then,  $ct = \gamma$ ,  $x = \gamma \beta = \beta ct$ .
- $\bullet$  Thus,  $ct'$ -axis has slope  $1/\beta = c/v$ .



In Figure  $\beta = 0.6$ . Thus,  $\gamma = \frac{1}{\sqrt{1-\beta^2}} = \frac{5}{4}.$ From  $x = \gamma$  and  $ct = \beta \gamma$ , locate the  $(1, 0)$  in the primed system.

#### Reading Coordinates on a Minkowski Diagram



#### **Simultaneity**



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#### Time Dilation



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#### Length Contraction



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#### Train in Tunnel Problem

A relativistic train of rest length 240 meters travels at 0.6c through a tunnel which has rest length 360 meters.



#### Doppler Effect for a Moving Source

• Classical Doppler:  $\lambda' = \frac{c}{\nu} - \nu t = \frac{c}{\nu}(1 - \beta)$ . Apparent frequency:  $\nu' = \frac{c}{\lambda'} = \frac{\nu}{1-\beta}$ .



- Relativistic Doppler: Source clock ticks slower,  $\nu \rightarrow \nu/\gamma$ . Apparent frequency:  $\nu' = \frac{\nu}{\gamma(1-\beta)} = \nu \sqrt{\frac{1+\beta}{1-\beta}}$ .
- Galaxy moves away  $(\beta < 0)$  redshift  $(\nu' < \nu$  and  $\lambda' > \lambda)$ .

#### <span id="page-46-0"></span>Einstein's Happiest Thought

- Einstein spent years generalizing Special Relativity.
- Galileo Everything falls at the same rate.
- Einstein When you fall freely, gravity disappears.
- Led to the Equivalence Principle.



#### The Equivalence Principle



There are no (local) experiments which can distinguish non-rotating free fall under gravity from uniform motion in space in the absence of gravity. Einstein generalized special relativity to Curved Spacetime.

- Einstein's Equation.
- Gravity  $=$  Geometry

 $G_{\mu\nu} = 8\pi T_{\mu\nu}$ .

- Mass tells space how to bend and space tell mass how to move.
- Predictions. (Wheeler)
	- Perihelion Shift of Mercury.
	- Bending of Light.
	- Time dilation.



#### <span id="page-49-0"></span>Classical Tests - Perihelion Shift of Mercury

- First noted by Le Verrier, 1859. 38" (arc seconds) per century.
- Re-estimated by Newcomb, 1882.
- $\bullet$  Ellipse axis shifts 43" per century.





#### Classical Tests - Deflection of Light

- Deflection of light when light passes near a large mass its path is slightly bent.
- 1919 Eclipse observed an island near Brazil and near the west coast of Africa.



# LIGHTS ALL ASKEW IN THE HEAVENS

Men of Science More or Less Agog Over Results of Eclipse Observations.

#### **EINSTEIN THEORY TRIUMPHS**

Stars Not Where They Seemed or Were Calculated to be. but Nobody Need Worry.



#### Classical Tests - Gravitational Time Dilation



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#### Derivation of Gravitational Time Dilation

- Bob and Alice's positions for accelerating rocket:  $z_B(t) = \frac{1}{2}gt^2$ ,  $z_A(t) = h + \frac{1}{2}gt^2$ .
- Pulse emitted at  $t = 0$  and received at  $t_1 : z_A(0) z_B(t_1) = ct_1$ .
- Second pulse emitted travels distance  $z_A(\Delta \tau_A) - z_B(t_1 + \Delta \tau_B) = c(t_1 + \Delta \tau_B - \Delta \tau_A)$
- Assume  $\Delta \tau_A$  small, we have

$$
h - \frac{1}{2}gt_1^2 = ct_1,
$$
  

$$
h - \frac{1}{2}gt_1^2 - gt_1\Delta\tau_B = c(t_1 + \Delta\tau_B - \Delta\tau_A).
$$
 (5)

 $\bullet$  Assume  $gh/c^2$  small,  $t_1 \approx h/c$  and

$$
\Delta \tau_B = \Delta \tau_A \left( 1 - \frac{gh}{c^2} \right).
$$

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#### Gravitational Redshift

The time interval for received pulses is smaller

$$
\Delta \tau_B = \Delta \tau_A \left( 1 - \frac{gh}{c^2} \right).
$$

In general, note  $gh = \Phi_A - \Phi_B$  is gravitational potential difference. Then, the rate of emission and reception,  $1/\Delta\tau$ , is

$$
\omega_B = \left(1 - \frac{\Phi_A - \Phi_B}{c^2}\right)^{-1} \omega_A \approx \left(1 + \frac{\Phi_A - \Phi_B}{c^2}\right) \omega_A
$$

For a star of radius  $R$  and signal received far away, and noting  $\Phi_{A}-\Phi_{B}=\frac{GM}{r_{B}}-\frac{GM}{r_{A}},$  we have the  $\bf\emph{gravitational redshift}$ 

$$
\omega_{\infty} = \left(1 - \frac{GM}{Rc^2}\right)\omega_{\text{star}}.
$$

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#### Time Dilation and GPS

Gravitational redshift - clocks in a gravitational field observed from a distance tick slower. (1960s, Pound-Rebka-Snider experiments)

• Special Relativity.

$$
\delta t = \frac{\delta \tau}{\sqrt{1 - \frac{v^2}{c^2}}}.
$$

• General Relativity.

$$
\delta t = \delta \tau \sqrt{1 - \frac{2GM}{rc^2}}
$$

$$
\approx \delta \tau \left(1 - \frac{GM}{rc^2}\right).
$$

• Application - GPS



#### GPS Satellites

- Global Positioning System
- 32 Satellites (max)
- Semi-synchronous orbits
	- 20,200 km,
	- 11 hours 58 min
	- Cesium or Rubidium clocks
- At least 4 over each location
- SR: Lose  $7,200$  ns/day
- GR: Gain 45850 ns/day
- Net, 39  $\mu$ s/day [or, 500 m/hr]



#### **Triangulation**

Equations of intersecting circles:

$$
(x-14)2 + (y-45)2 = 392.
$$
  
\n
$$
(x-80)2 + (y-70)2 = 502.
$$
  
\n
$$
(x-71)2 + (y-50)2 = 292.
$$

Subtract first and last pairs:

$$
132x + 50y = 8100,
$$
  

$$
18x + 40y = 2100.
$$

Solve:  $x = 50, y = 30$ .

For satellites, use intersecting spheres and vertical coordinate, z.



<span id="page-57-0"></span>Consider the line element

$$
ds^{2} = -\left(1 + \frac{2\Phi(x^{i})}{c^{2}}\right)(cdt)^{2} + \left(1 + \frac{2\Phi(x^{i})}{c^{2}}\right)^{-1}(dx^{2} + dy^{2} + dz^{2}).
$$

Then, the proper time between points  $A$  and  $B$  is

$$
\tau_{AB} = \int_{A}^{B} d\tau = \int_{A}^{B} \left(\frac{ds^{2}}{c^{2}}\right)^{1/2}
$$
\n
$$
= \int_{A}^{B} \left[ \left(1 + \frac{2\Phi(x^{i})}{c^{2}}\right) dt^{2} - \frac{1}{c^{2}} \left(1 + \frac{2\Phi(x^{i})}{c^{2}}\right)^{-1} \left(dx^{2} + dy^{2} + dz^{2}\right) \right]^{1/2}
$$
\n
$$
= \int_{A}^{B} dt \left[ \left(1 + \frac{2\Phi(x^{i})}{c^{2}}\right) - \frac{1}{c^{2}} \left(1 + \frac{2\Phi(x^{i})}{c^{2}}\right)^{-1} v^{2} \right]^{1/2}
$$
\n
$$
\approx \int_{A}^{B} dt \left[1 + \frac{2\Phi(x^{i})}{c^{2}} - \frac{1}{c^{2}} v^{2} \right]^{1/2} \approx \int_{A}^{B} dt \left[1 + \frac{1}{c^{2}} \left(\Phi(x^{i}) - \frac{1}{2} v^{2}\right)\right]
$$

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The proper time between points  $A$  and  $B$  to first order in  $1/c^2$  is

$$
\tau_{AB} = \int_A^B dt \left[ 1 + \frac{1}{c^2} \left( \Phi(x^i) - \frac{1}{2} v^2 \right) \right]
$$

Extremizing is equivalent to extremizing

$$
I = \int_A^B dt \left( \frac{1}{2} v^2 - \Phi(x^i) \right).
$$

We have the Lagrangian  $L = \frac{1}{2}v^2 - \Phi(x^i)$ . The Lagrange equations give

$$
\frac{d^2x}{dt^2} = -\nabla\Phi.
$$

Essentially, this is  $F = ma$ .

#### <span id="page-59-0"></span>Sign Conventions

- East Coast  $(-+++)$ 
	- Minkowski, Einstein, Pauli, Schwinger
	- Spacelike  $ds^2 > 0$
	- Minkowski line element  $ds^2 = -c^2 dt^2 + dx^2 + dy^2 + dz^2$ .
- West Coast  $(+ -)$ 
	- Bjorken-Drell QFT Text SLAC
	- Timelike  $ds^2 > 0$
	- Minkowski line element  $ds^2 = c^2 dt^2 dx^2 dy^2 dz^2$ .

