

# The Physics of Black Holes PHY 490, Spring 2021

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Syllabus Course Outline Course Outline Compact Astrophysical Objects Newton's Gravitation Einstein's Special Relativity Einstein's General Relativity Classical Tests of General Relativity Newtonian Gravity and Spacetime Sign Conventions



Figure 1: M87 Jet.

# The Syllabus

#### • Website

http://people.uncw.edu/
hermanr/BlackHoles/

#### • Grades

Item	Percentage
Assignments	50%
Exams	40%
Paper	10%



#### Figure 2: Main Textbooks.

#### • Textbooks

Black Holes: A Student Text, 3rd Edition, D. J. Raine and E. Thomas, 2015.

*Gravity's Fatal Attraction (Black Holes in the Universe)*, 3rd Edition, M. Begelman and M. Rees, 2020.

# **COVID-19** Instruction

- This class is: Face to Face unless ...
- https://uncw.edu/ coronavirus/
  - Social Distancing
  - Face Coverings
  - Wash Hands
- Office Hours



Following CDC Guidelines, UNC System directives, and out of mutual respect as outlined in the UNCW Seahawk Respect Compact, all faculty, staff, and students will wear face coverings while inside buildings. Students who are unprepared or unwilling to wear protective face coverings will not be permitted to participate in face-to-face sessions and will need to leave the building. Noncompliant students will be referred to the Dean of Students for an Honor Code Violation. Any student who has a medical concern with wearing a face covering should contact the Disability Resource Center at (910) 962-7555.

Students who experience COVID-19 symptoms should immediately contact the Abrons Student Health Center at (910) 962-3280.

# Course Outline - Black Holes: A Student Text

- Compact Objects
- Special Relativity
- Vectors and Tensors
- General Relativity
- Schwarzschild Metric
  - Geodesics
  - Classic tests
  - Visualization Interstellar, EHT
- Kerr Metric
- Black Hole Thermodynamics
  - Information Paradox
- Wormholes and Time Travel



Figure 3: Einstein's Equation.

We begin with Chapter 7, Astrophysical Black Holes, and the book *Gravity's Fatal Attraction*.

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# Course Outline - Gravity's Fatal Attraction

- 1. Gravity Triumphant
- 2. Stars and Their Fates
- 3. Black Holes in Our Backyard
- 4. Galaxies and Their Nuclei
- 5. Quasars and Kin
- 6. Jets
- 7. Blasts from the Past
- 8. Black Holes in Hibernation
- 9. Cosmic Feedback
- 10. Postcards from the Edge
- 11. Gravitational Waves
- 12. Through the Horizon



# **Compact Astrophysical Objects**

- Endpoints of stellar evolution.
  - White dwarfs.
  - Neutron stars.
  - Black holes.
- Constituents of galaxies
- Extreme Objects at centers of
  - Milky Way Sagittarius A\*
    - $4.1 imes 10^6 M_{\odot}$ ,
  - M87\* in Virgo Cluster -  $6.5 \times 10^9 M_{\odot}$ .
- Detection modes
  - Neutron stars, BHs
    - radio, X-ray emissions.
  - White dwarfs optical.



#### https://www.nasa.gov/sites/default/ files/chandra20140105.jpg

# **Black Holes**

- 1783 John Michell
  - applied gravity to corpuscules.
  - predicted dark stars.
- 1796 Pierre-Simon Laplace
  - predicted point of no return.
- 1915 Albert Einstein GR.
- 1916 Karl Schwarschild - Spherical symmetry.
- 1939 J. Robert Oppenheimer and Hartland Snyder - Stellar collapse.
- 1939 Einstein denied.
- 1967 John Wheeler coined name.
- 1970 C.V. Vishveshwara.
  - Stability of Schwarzschild BH.
  - Quasinormal modes, ring down.





- 1964, 1971 Cygnus X-1
  - 6070 lyr,  $14.8 M_{\odot}.$
  - $R_s =$  44 km. [1M $_{\odot}$ ightarrow 2.95 km]
- List of Black Holes

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# Black Holes Have No Hair

- Oppenheimer and Snyder assumed
  - Perfectly spherical.
  - Non-rotating.
  - No imperfections.
  - Controversial.
- 1964 Roger Penrose
   If matter has a positive energy-density, a trapped surface has a singularity.
- 1966 Stephen Hawking The Singularity Theorem is for the whole universe, and works backwards in time.
- 1967 Werner Israel 1<sup>st</sup> Schwarzschild.
- 1972 Jacob Bekenstein (via Wheeler)
  - "Black holes have no hair."
  - just mass, angular momentum, charge.





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# **Black Hole Thermodynamics**

- 1972 Jacob Bekenstein Black holes should have entropy.
- 1974 Stephen Hawking
  - They have a temperature.
  - And emit Hawking radiation.

$$S = \frac{kA}{4\ell_p^2}, \quad kT = \frac{hc^3}{16\pi^2 GM}$$

- Laws of BH Thermodynamics.
- Black Holes Evaporate. Where does information go?
- Holographic Principle.
  - t'Hooft, Susskind.
  - Information Paradox.
  - AdS/CFT correspondence.

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Black holes aren't so black after all ... Virtual pairs of particles

# White Dwarfs

- 1783 -William Herschel.
  - 40 Eridani, triple system, 17 lyr
     1910 Henry Norris Russell, Edward
    Charles Pickering and Williamina Fleming
    identified as Spectral Class A.
- 1844 Friedrich Wilhelm Bessel.
  - Sirius (Canus Major, Dog star, 8.6 lyr) and Procyon (Canus Minor, 12 lyr)
  - Companion white dwarfs.
- 1922 Coined by Willem Luyten.
  - over 9000, 0.5-0.7  $M_{\odot}[0.8\%\text{-}2\%~R_{\odot}].$

#### White Dwarf Stars Near The Earth



Figure 6: Sirius A and B.

#### https://en.wikipedia.org/wiki/White\_dwarf

2018 - Astronomers Find Planet Vulcan Right Where Star Trek Predicted it.

# Subrahmanyan Chandrasekhar (1910-1995)

- 1930 At 19, traveled to England.
- Read William A. Fowler's 1926 e<sup>-</sup>-degeneracy.
  - In fermion gas, electrons move into unfilled energy levels.
  - Particle density increases and electrons fill the lower energy states.
  - Other e<sup>-</sup>'s occupy states of higher energy (even at low temperatures).
  - Degenerate gases resist. compression due to the Pauli exclusion principle.
  - Generates a *degeneracy pressure*.
  - Applied Fermi-Dirac statistics.
- Degeneracy pressure vs gravity
  - Chandrasekhar limit  $M \sim 1.4 M_{\odot}$ .



# **Neutron Stars**

- 1932 Chadwick discovers neutron.
- 1933 Walter Baade, Fritz Zwicky - neutron stars result of supernovae.
- 1931,1937 Lev Landau Work on white dwarfs and neutron stars.
- 1939 Oppenheimer-Volkoff-Tolman - max  $M \sim 0.75 M_{\odot}$ [Now, 1.5-3M $_{\odot}$ ].
- 1965 Crab Pulsar, 1054 Supernova - Antony Hewish, Samuel Okoye.
- 1967 Scorpius X-1, losif Schlovsky.
- 1967 Jocelyn Bell, Antony Hewish - PSR B1919+21 pulsar.
- 1974 Taylor-Hulse binary pulsar.



Figure 7: Crab Nebulae.

# Taylor-Hulse Binary Pulsar PSR B1913+16

- Pulsars: pulsating radio star. Rapidly rotating neutron star.
- Magnetic lighthouse.
- Regular flashing
  - 2x each cycle 17 per second.
- Regular variations 7.75 hrs and 3s differences due to elliptical orbit.
- 305 m Arecibo Radio Telescope in Puerto Rico. (Collapse, Nov. 2020)
- 1993 Nobel Prize
  - Joe Taylor and Russell Hulse.



**Figure 8:** Binary Pulsar and Arecibo Telescope.

- Einstein's Prediction of radiation loss as gravitational waves.
- Calculated masses, periastron (closest distance), and apastron (furthest).
- Energy Loss:  $\frac{dE}{dt} = 7.35 \times 10^{24}$  W.
- Orbital period change:  $\frac{dT}{dt} = 7.65$  milliseconds/yr.
- First indirect observation of gravitational waves.



Figure 9: Binary Pulsar Data

# **Accretion in Binary Systems**

- 1960s X-Ray Astronomy.
- Scorpius X-1: 1-10 keV.
- 20 sources by end of decade.
- Cygnus X-1 varies in time.
- Accretion.
  - Gas forms disk around compact object.
  - Friction leads to spiraling inward.
  - Gravity and friction compress, raise temperature.
  - Leads to EM emission.



# Accretion History

- 1926 Arthur Eddington accretion rate depends on velocity, density gravity focuses towards CM.
- Hoyle, Lyttleton rate greater with collisions.
- 1952 Herman Bondi
- Algol in Perseus
  eclipsing binary, 2.9 days.
- Small blue hidden by larger red.
- Blue star tending to red giant.
- Used to be red, overflowed Roche lobe - past Lagrange pt.





# Active Galaxy Nuclei

- 1918 Heber Curtis
  - Straight ray from M87.
- 1920 Island Universes
  - Curtis-Shapley Debate
- Strange behavior from galaxy centers.
  - Too much blue, UV.
  - Bright.
  - Active galaxies with AGN.
  - Quasars, starbursts.
- Karl Seyfert
  - Intense blue nuclei.
  - Very high velocities.
  - Seyfert galaxies.



- 1954 W. Baade, R. Minkowski
  - Cynus A
  - 300  $\times$  M31 distance.
  - Dumbbell lobes.
- 1956-9 Geoffrey Burbidge Lobe energy very high.

# **Quasars - Quasi Stellar Objects**

- 1963 Hazard, et al. pinpoint 3C 273.
- 1963 Maartin Schmidt, 3C 273.
  - Spectrum: 16 % redshift
  - Distance: 10<sup>9</sup> lyr.
  - Fluctuating brightness over 1 mo.
- Quasars QSOs.
- Hubble Telescope detects many.
- AGN Properties:
  - Energy emitted large rate.
  - Extremely compact.
  - Not normal radiation.
  - Gas moves at very high speeds.
- Manifestation of massive BHs.



# Massive Black Holes?

- Mass Compactness, M/R, Limits
  - Upper limit on R
    - brightness variation. R < ct.
  - Lower limit on *M* luminosity.
  - Estimate lifetime energy.
    - luminosity  $\times$  age.
    - Eddington limit.
    - 100 million to billions  $M_{\odot}$ .
  - $M/R > .001c^2/G$ .
- Hoyle, Burbidge only gravitational collapse can supply energy.



# **Quasar Models**

- 1969 Donald Lynden-Bell
  - Quasars powered by accretion.
  - BHs > 100 million  $M_{\odot}$ .
- Sources of Emission
  - Accretion disk like X-Ray binaries.
  - EM processes
    - Tap spin energy.
    - A flywheel with disk as brake.
- Different emissions
  - X-Rays captured by disk.
  - Turned to UV, optical, IR.
- Need Mass, Spin, and Orientation.



#### Figure 10: M87 jet.

# **Radio Astronomy**

- 1931 Karl Jansky
  - Bell Labs telecommunications.
  - Sensitive antenna
    - transaltantic cable noise.
    - Not terrestrial!.
- 1944 Grote Reber
  - First sky map of Milky Way.
  - Radio Emissions, Cygnus.
- 1950s Martin Ryle
  - Idea of arrays of dishes.
- 1970s Telescope arrays.
- Detailed hot spots and lobes.



VLA - Very Large ArraySocorro, NM.27 linked radio telescopes.25 m diameter.Y-shaped across 40 km.Comparable to Hubble resolution.

# Hot Spots and Lobes

- Picked up double radio sources.
- From galaxy cores.
- Superhot, magnetized gas ejection?
- 70s Blobs powered by twin streams of gas from galactic core hotspot.
   Source of radio waves.
- Travels through intergalactic medium, pushing matter away at 60% c.
- Deceleration leads to shock waves.
- Energy of relativistic e<sup>-</sup>'s and magnetism. - Synchrotron radiation.
- Hot spot 100,000 to  $10^6 \mbox{ yrs.}$
- Moves to lobes, persists  $10^8$  yrs.



#### Figure 11: Radio Galaxies and Quasars

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- 1978 Jets only theoretical.
- Not seen to this point.
- VLA observations changed that.
- Late 1978 SS 443 X-ray binary.
- Bruce Margon, et al.
   Spectrum had three parts: Normal, red shifted, blue shifted.
- Rotating jets precessing 163 days.
- Stability due to high speed, low density, stiff B-field.
- Can lead to radio trail bend.
- Then there is the core using VLBI.



# Black Holes - The Last Decade

- 2014- Interstellar, the movie.
  - Black hole visualization.
- 2016 Gravitational Waves, LIGO.
- 2019 First picture, Event Horizon.
- Nobel Prizes:
  - 2011 Saul Perlmutter, Brian P. Schmidt and Adam G. Riess.
  - 2017 Rainer Weiss, Barry C. Barish and Kip S. Thorne.
  - 2019 James Peebles, Michel Mayor and Didier Queloz.
  - 2020 Roger Penrose, Reinhard Genzel and Andrea Ghez
- Now, back to physics ...



# Isaac Newton (1642-1727)

In 1680s Newton sought derivation of Kepler's planetary laws of motion.

- Principia 1687.
- Took 18 months.
- Laws of Motion.
- Law of Gravitation.
- 1759 Halley's Comet

Objects on the Earth feel same force as the planets orbiting the sun.

$$F = G \frac{mM}{r^2}.$$



# John Michell (1724-1793) - restored from obscurity

- Natural philosopher, clergyman
- Applied Newton's Corpuscular Theory.
- Philosophical Transactions of the Royal Society of London, 1783.
- A star's gravitational pull might be so strong that the escape velocity would exceed the speed of light!
  - Dark Stars.
- Pierre-Simon Laplace (1749-1827), Exposition du Système du Monde -1796
- Consider escape velocity.



Figure 12: Firing projectiles.

### **Escape Velocity from** E = T + U

- Kinetic energy:  $T = \frac{1}{2}mv^2$ .
- Potential energy:

• Escape velocity: Energy conservation.

$$U = \int_{\infty}^{R} F(\rho) d\rho$$
$$= \int_{\infty}^{R} G \frac{mM}{\rho^2} d\rho = -G \frac{mM}{R}$$

$$\frac{1}{2}mv^2 - G\frac{mM}{R} = 0.$$

$$v = \sqrt{\frac{2GM}{R}}.$$



Escape rates for some celestial bodies,  $G = 6.67 \times 10^{-11} Nm^2/kg^2$ .

	Mass $M$ (kg)	Radius R (m)	Escape Velocity v (m/s)
Moon	$7.348\times10^{22}$	$1.737 imes10^{6}$	2,376 (5,300 mph)
Earth	$5.972\times10^{24}$	$6.378 imes10^{6}$	11,176 (25,000 mph)
Jupiter	$1.898\times10^{27}$	$7.1492\times10^7$	59,511 (133,000 mph)
Sun	$1.989\times10^{30}$	$6.957 imes10^8$	617,567 (1.38 million mph)

For light, 
$$R = \frac{2GM}{c^2}$$
,  
 $v = c = 3.0 \times 10^8$  m/s.

- Earth, R = .0088 m.
- Sun, *R* = 2.9 km,
- $\frac{Sun Mass}{Earth Mass} = 3.3 \times 10^5$

But, light is a wave!

**Page 1 of text:** Let  $\rho \sim M/R^3$ . Light fails to escape when

$$M \sim (c^2/G)^{3/2} \rho^{-1/2}$$

$$\begin{split} & \text{For lead, } \rho \sim 5000 \text{ kg-m}^{-3}, \\ & M \sim 7.01 \times 10^{38} \text{kg} = 3.5 \times 10^8 M_\odot. \\ & \text{Then explain Eq. (1.1),} \\ & M \sim 10^8 (\rho_*/\rho)^{1/2} M_\odot. \end{split}$$

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Figure 13: Equations of Electricity and Magnetism Gauss' Law, No magnetic monopoles, Maxwell-Ampere Law, Faraday's Law.

#### 1905 - Einstein's Miracle Year

- Photoelectric effect (March/June).
- Brownian motion (May/July).
- Special Relativity (June/September).
  - Inspired by Maxwell's Theory.
  - Two Postulates
    - Physics is same for all inertial observers.
    - Speed of light same for everyone.
  - Consequences.
    - Time dilation.
    - Length contraction.
    - Space and Time relative.
- $E = mc^2$ .(September/November)



Figure 14: Einstein (1879-1955)

# Time Dilation - Moving clocks tick slower.

- Examples -
  - Plane trip
    - 620 mph (277 m/s)
    - Lose 3 ns/hr.
  - Muon
  - Cosmic rays collide with nuclei.
  - Pions decay into muons.
    - Lifetime 2.2  $\mu$ s
    - At 0.995*c*, travels 660 m









# Space, Time, and Spacetime

#### From René Descartes:



Particles move in straight lines to maximize lifetime.

From Hermann Minkowski:

# **Lorentz Transformation**



- Clock  $C_0$ , synchronized with  $C_1$ ,  $C_2$ .
- Pulse sent at at t = 0.
- Travels ct to  $C_1, C_2$ .
- Then,  $x = \pm ct$ , or  $x^2 c^2 t^2 = 0$ .
- System S' travels v w.r.t. S.
- $x'^2 c^2 t'^2 = 0.$
- $\Delta x^2 c^2 \Delta t^2 = \Delta x'^2 c^2 \Delta t'^2$ .



# Lorentz Transformation (con't)

• 
$$\Delta x^2 - c^2 \Delta t^2 = \Delta x'^2 - c^2 \Delta t'^2$$
.

- $\Delta x' = 0$ ,  $C'_0$  at rest w.r.t. S'.
- According to S,  $C'_0$  at x = vt.

$$x^{2} - c^{2}t^{2} = -c^{2}t'^{2}$$
$$(v^{2} - c^{2})t^{2} = -c^{2}t'^{2}$$
$$t = \gamma t'$$
$$\gamma = \frac{1}{\sqrt{1 - \frac{v^{2}}{c^{2}}}}$$

- Galilean transformation, x = x' + vt', t = t'.
- Assume Lorentz transformation,  $x = ax' + bct', t = \gamma t'.$



•  $x' = 0, x = vt \Rightarrow vt = bc\gamma^{-1}t.$ So,  $b = \beta\gamma, \ \beta = v/c.$ 

• 
$$x = 0, x' = -vt \Rightarrow$$
  
 $0 = -avt' + bct'$ , or  $a = \gamma$ 

- Thus,  $x = \gamma (x' + \beta ct')$ .
- $t = x/c, t' = x'/c \Rightarrow$  $ct = \gamma(ct' + \beta x').$

The Lorentz transformation in 1+1 dimensional spacetime is

$$x = \gamma(x' + vt') = \gamma(x' + \beta ct'), \qquad (1)$$

$$ct = c\gamma(t' + \frac{vx'}{c^2}) = \gamma(ct' + \beta x'), \qquad (2)$$

with Lorentz factor 
$$\gamma = \frac{1}{\sqrt{1-\frac{v^2}{c^2}}} = \frac{1}{\sqrt{1-\beta^2}}, \ \beta = \frac{v}{c}.$$

The inverse transformation is

$$x' = \gamma(x - vt) = \gamma(x - \beta ct), \qquad (3)$$

$$ct' = c\gamma(t - \frac{vx}{c^2}) = \gamma(ct - \beta x).$$
 (4)

This is also referred to as a Lorentz boost.

# **Matrix Representation**

$$\begin{pmatrix} x \\ ct \end{pmatrix} = \begin{pmatrix} \gamma & \gamma\beta \\ \gamma\beta & \gamma \end{pmatrix} \begin{pmatrix} x' \\ ct' \end{pmatrix}$$
$$= \begin{pmatrix} \cosh\chi & \sinh\chi \\ \sinh\chi & \cosh\chi \end{pmatrix} \begin{pmatrix} x' \\ ct' \end{pmatrix}$$

Here  $\beta = \tanh \chi$ ,  $\gamma = (1 - \beta^2)^{-1/2} = \cosh \chi$ , where  $\chi$  is called the rapidity. The inverse transformation is given by

$$\begin{pmatrix} x' \\ ct' \end{pmatrix} = \begin{pmatrix} \cosh \chi & \sinh \chi \\ \sinh \chi & \cosh \chi \end{pmatrix}^{-1} \begin{pmatrix} x \\ ct \end{pmatrix}$$
$$= \begin{pmatrix} \cosh \chi & -\sinh \chi \\ -\sinh \chi & \cosh \chi \end{pmatrix} \begin{pmatrix} x \\ ct \end{pmatrix}$$

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# **Group Structure of Lorentz Boost**

$$\begin{pmatrix} x' \\ ct' \end{pmatrix} = \begin{pmatrix} \cosh \chi & -\sinh \chi \\ -\sinh \chi & \cosh \chi \end{pmatrix} \begin{pmatrix} x \\ ct \end{pmatrix} \equiv \Lambda(\chi) \begin{pmatrix} x \\ ct \end{pmatrix}.$$

• Composition  $\Lambda(\chi_1)\Lambda(\chi_2) = \Lambda(\chi_1 + \chi_2)$ .

$$\begin{pmatrix} \cosh \chi_1 & -\sinh \chi_1 \\ -\sinh \chi_1 & \cosh \chi_1 \end{pmatrix} \begin{pmatrix} \cosh \chi_2 & -\sinh \chi_2 \\ -\sinh \chi_2 & \cosh \chi_2 \end{pmatrix} = \begin{pmatrix} \cosh(\chi_1 + \chi_2) & -\sinh(\chi_1 + \chi_2) \\ -\sinh(\chi_1 + \chi_2) & \cosh(\chi_1 + \chi_2) \end{pmatrix}$$

• Addition of Velocities:

$$\begin{aligned} \tanh \chi &= \frac{\tanh \chi_1 + \tanh \chi_2}{1 + \tanh \chi_1 \tanh \chi_2} \\ v &= \frac{v_1 + v_2}{1 + \frac{v_1 + v_2}{c^2}}. \end{aligned}$$

- Identity ( $\chi = 0.$ ), Inverse, Associative.
- Similar to (imaginary) rotation group.

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A passenger fires a bullet at 0.6c relative to a train moving at 0.8c. How fast is the bullet moving relative to the ground? It is not 1.4c.

Another derivation:

$$dx = \gamma(dx' + \beta c dt') = \gamma(u'_{x} + v) dt',$$
  
$$dt = \gamma \left(dt' + \frac{v}{c^{2}} dx'\right) = \gamma \left(1 + \frac{v u'_{x}}{c^{2}}\right) dt'.$$

So,

$$u_x = \frac{dx}{dt} = \frac{u'_x + v}{1 + \frac{vu'_x}{c^2}}$$

$$\begin{array}{c} & & \bullet \\ & & \star \\ & & \star \\ & & \bullet \end{array} \xrightarrow{} u_x, u_x' \xrightarrow{} \\ & & \bullet \\ & & \bullet \end{array} \xrightarrow{} v$$

# Minkowski Diagrams

- Reference frame *S* : (*x*, *ct*).
- Reference frame S' : (x', ct').
- x'-axis: x' = 1, ct' = 0.
- Then,  $x = \gamma$ ,  $ct = \beta \gamma$ .
- Thus,  $ct = \beta x$ .
- x'-axis has slope  $\beta = v/c$
- ct' axis: x' = 0, ct' = 1.
- Then,  $ct = \gamma$ ,  $x = \gamma\beta = \beta ct$ .
- Thus, ct'-axis has slope  $1/\beta = c/v$ .



In Figure  $\beta = 0.6$ . Thus,  $\gamma = \frac{1}{\sqrt{1-\beta^2}} = \frac{5}{4}$ . From  $x = \gamma$  and  $ct = \beta\gamma$ , locate the (1,0) in the primed system.

## Reading Coordinates on a Minkowski Diagram



# Simultaneity



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# **Time Dilation**



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# **Length Contraction**



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# **Train in Tunnel Problem**

A relativistic train of rest length 240 meters travels at 0.6c through a tunnel which has rest length 360 meters.



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# **Doppler Effect for a Moving Source**

• Classical Doppler:  $\lambda' = \frac{c}{\nu} - vt = \frac{c}{\nu}(1 - \beta)$ . Apparent frequency:  $\nu' = \frac{c}{\lambda'} = \frac{\nu}{1 - \beta}$ .



- Relativistic Doppler: Source clock ticks slower,  $\nu \to \nu/\gamma$ . Apparent frequency:  $\nu' = \frac{\nu}{\gamma(1-\beta)} = \nu \sqrt{\frac{1+\beta}{1-\beta}}$ .
- Galaxy moves away ( $\beta < 0$ ) **redshift** ( $\nu' < \nu$  and  $\lambda' > \lambda$ ).

# **Einstein's Happiest Thought**

- Einstein spent years generalizing Special Relativity.
- Galileo Everything falls at the same rate.
- Einstein When you fall freely, gravity disappears.
- Led to the Equivalence Principle.



# The Equivalence Principle



There are no (local) experiments which can distinguish non-rotating free fall under gravity from uniform motion in space in the absence of gravity.

Einstein generalized special relativity to Curved Spacetime.

- Einstein's Equation.
- Gravity = Geometry

 $G_{\mu\nu}=8\pi T_{\mu\nu}.$ 

- Mass tells space how to bend and space tell mass how to move.
- Predictions. (Wheeler)
  - Perihelion Shift of Mercury.
  - Bending of Light.
  - Time dilation.



# **Classical Tests - Perihelion Shift of Mercury**

- First noted by Le Verrier, 1859. 38" (arc seconds) per century.
- Re-estimated by Newcomb, 1882.
- Ellipse axis shifts 43" per century.



arcsec/cent	Cause
532.3035	Gravitational tugs by other bodies
0.0286	Oblateness of Sun
42.9799	General Relativity
-0.0020	Lense-Thirring
575.31	Total Predicted
$574.10\pm0.65$	Observed

# **Classical Tests - Deflection of Light**

- Deflection of light when light passes near a large mass its path is slightly bent.
- 1919 Eclipse observed an island near Brazil and near the west coast of Africa.



# LIGHTS ALL ASKEW IN THE HEAVENS

Men of Science More or Less Agog Over Results of Eclipse Observations.

#### **EINSTEIN THEORY TRIUMPHS**

Stars Not Where They Seemed or Were Calculated to be, but Nobody Need Worry.



## **Classical Tests - Gravitational Time Dilation**



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#### **Derivation of Gravitational Time Dilation**

- Bob and Alice's positions for accelerating rocket:  $z_B(t) = \frac{1}{2}gt^2$ ,  $z_A(t) = h + \frac{1}{2}gt^2$ .
- Pulse emitted at t = 0 and received at  $t_1 : z_A(0) z_B(t_1) = ct_1$ .
- Second pulse emitted travels distance  $z_A(\Delta \tau_A) - z_B(t_1 + \Delta \tau_B) = c(t_1 + \Delta \tau_B - \Delta \tau_A)$
- Assume  $\Delta \tau_A$  small, we have

$$h - \frac{1}{2}gt_{1}^{2} = ct_{1},$$
  
$$h - \frac{1}{2}gt_{1}^{2} - gt_{1}\Delta\tau_{B} = c(t_{1} + \Delta\tau_{B} - \Delta\tau_{A}).$$
 (5)

• Assume  $gh/c^2$  small,  $t_1 \approx h/c$  and

$$\Delta au_B = \Delta au_A \left( 1 - \frac{gh}{c^2} 
ight).$$

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## **Gravitational Redshift**

The time interval for received pulses is smaller

$$\Delta au_B = \Delta au_A \left( 1 - rac{gh}{c^2} 
ight).$$

In general, note  $gh = \Phi_A - \Phi_B$  is gravitational potential difference. Then, the rate of emission and reception,  $1/\Delta \tau$ , is

$$\omega_B = \left(1 - \frac{\Phi_A - \Phi_B}{c^2}\right)^{-1} \omega_A \approx \left(1 + \frac{\Phi_A - \Phi_B}{c^2}\right) \omega_A$$

For a star of radius R and signal received far away, and noting  $\Phi_A - \Phi_B = \frac{GM}{r_B} - \frac{GM}{r_A}$ , we have the **gravitational redshift** 

$$\omega_{\infty} = \left(1 - \frac{GM}{Rc^2}\right) \omega_{\text{star}}.$$

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# Time Dilation and GPS

Gravitational redshift - clocks in a gravitational field observed from a distance tick slower. (1960s, Pound-Rebka-Snider experiments)

• Special Relativity.

$$\delta t = \frac{\delta \tau}{\sqrt{1 - \frac{v^2}{c^2}}}.$$

• General Relativity.

$$\begin{array}{lll} \delta t &=& \delta \tau \sqrt{1 - \frac{2 G M}{r c^2}} \\ &\approx& \delta \tau \left(1 - \frac{G M}{r c^2}\right) \end{array}$$

• Application - GPS



# **GPS** Satellites

- Global Positioning System
- 32 Satellites (max)
- Semi-synchronous orbits
  - 20,200 km,
  - 11 hours 58 min
  - Cesium or Rubidium clocks
- At least 4 over each location
- SR: Lose 7,200 ns/day
- GR: Gain 45850 ns/day
- Net, 39  $\mu$ s/day [or, 500 m/hr]



# Triangulation

Equations of intersecting circles:

$$(x - 14)^{2} + (y - 45)^{2} = 39^{2}.$$
  

$$(x - 80)^{2} + (y - 70)^{2} = 50^{2}.$$
  

$$(x - 71)^{2} + (y - 50)^{2} = 29^{2}.$$

Subtract first and last pairs:

$$132x + 50y = 8100,$$
  
$$18x + 40y = 2100.$$

Solve: x = 50, y = 30.

For satellites, use intersecting spheres and vertical coordinate, z.



Consider the line element

$$ds^{2} = -\left(1 + rac{2\Phi(x^{i})}{c^{2}}
ight)(cdt)^{2} + \left(1 + rac{2\Phi(x^{i})}{c^{2}}
ight)^{-1}(dx^{2} + dy^{2} + dz^{2}).$$

Then, the proper time between points A and B is

$$\begin{aligned} \tau_{AB} &= \int_{A}^{B} d\tau = \int_{A}^{B} \left( \frac{ds^{2}}{c^{2}} \right)^{1/2} \\ &= \int_{A}^{B} \left[ \left( 1 + \frac{2\Phi(x^{i})}{c^{2}} \right) dt^{2} - \frac{1}{c^{2}} \left( 1 + \frac{2\Phi(x^{i})}{c^{2}} \right)^{-1} (dx^{2} + dy^{2} + dz^{2}) \right]^{1/2} \\ &= \int_{A}^{B} dt \left[ \left( 1 + \frac{2\Phi(x^{i})}{c^{2}} \right) - \frac{1}{c^{2}} \left( 1 + \frac{2\Phi(x^{i})}{c^{2}} \right)^{-1} v^{2} \right]^{1/2} \\ &\approx \int_{A}^{B} dt \left[ 1 + \frac{2\Phi(x^{i})}{c^{2}} - \frac{1}{c^{2}} v^{2} \right]^{1/2} \approx \int_{A}^{B} dt \left[ 1 + \frac{1}{c^{2}} \left( \Phi(x^{i}) - \frac{1}{2} v^{2} \right) \right] \end{aligned}$$

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The proper time between points A and B to first order in  $1/c^2$  is

$$\tau_{AB} = \int_{A}^{B} dt \left[ 1 + \frac{1}{c^2} \left( \Phi(x^i) - \frac{1}{2} v^2 \right) \right]$$

Extremizing is equivalent to extremizing

$$I = \int_{A}^{B} dt \left(\frac{1}{2}v^{2} - \Phi(x^{i})\right).$$

We have the Lagrangian  $L = \frac{1}{2}v^2 - \Phi(x^i)$ . The Lagrange equations give

$$\frac{d^2\mathsf{x}}{dt^2} = -\nabla\Phi.$$

Essentially, this is F = ma.

# **Sign Conventions**

- East Coast (-+++)
  - Minkowski, Einstein, Pauli, Schwinger
  - Spacelike  $ds^2 > 0$
  - Minkowski line element  $ds^2 = -c^2 dt^2 + dx^2 + dy^2 + dz^2$ .
- West Coast (+ - -)
  - Bjorken-Drell QFT Text SLAC
  - Timelike  $ds^2 > 0$
  - Minkowski line element  $ds^2 = c^2 dt^2 dx^2 dy^2 dz^2$ .



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